

# Deposit Year-End Booklet



**FPS GOLD**  
BANKING SOFTWARE & SERVICES

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# **Deposit Year-End Booklet**

## **Instructions for Using Deposit Year-End Forms**

*This booklet gives you the information you need to ensure timely and accurate submission of required deposit year-end forms.*

# **Deposit Year-End Booklet**

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# 1 Deposit Year-end Processing

Deposit year-end processing is now available from the Yearend Run Options screen in CIM GOLD. Previously, you were required to fill in a paper form requesting your year-end run options, and send that form into FPS GOLD. This information guides you through the Yearend Run Options screen to ensure timely and accurate submission of required deposit year-end forms for the IRS.

## 2 Deposit Year-End Request Overview

To ensure that your year-end statements and forms are printed correctly, FPS GOLD requires that you fill out a year-end request using the CIM GOLD [Deposit Yearend Run Options](#) screen. This request gives FPS GOLD the needed information to properly set up the end-of-year processing. You need to complete this request by [October 15](#).

FPS GOLD will run a test of year-end processing for your institution around the first of November, using the October monthend file. The reports, forms, and statements created from this test will be sent to you to verify and confirm the accuracy of the information. If any part of the test run appears to be incorrect, contact your FPS GOLD banking consultant to resolve the errors. Please do not assume the live run will be correct. *Reruns of year-end are billable if the problem existed in the test run.*

Your cooperation in completing the year-end form, verifying year-end tests, and discussing year-end concerns with your FPS GOLD banking consultant *well before the deadline* is necessary for a successful year-end.

### IMPORTANT

Any program changes, enhancements, or requests needed for year-end must be submitted by [October 1](#). This includes any Proxy, reports, and all other requests that need to be done by December 31.

## Action Dates for Year-end Processing

The following deadlines apply to year-end processing and submitting forms.

October 15	Last day to make any changes to the <a href="#">Yearend Run Options screen</a> for tests and year-end processing. Also the last day to submit year-end programming changes and requests.
November 1	FPS GOLD begins running and sending year-end tests for institutions.
Mid-November	Any problems on accounts (such as missing Social Security numbers or hold codes) should have been identified and corrected by your institution.
December 31	Year-end processing begins.
January 2	Remember to close your General Ledger books on the first working day of the new year so that your General Ledger reports use the proper balances. Contact your FPS GOLD Accounting Banking Consultant if you have questions.
January 7-17	FPS GOLD begins sending out year-end forms and reports. All deposit material will be shipped together. Reports and forms will be produced according to how the <a href="#">Yearend Run Options screen</a> was set up and will be shipped at the same time with a check-off list enclosed.
February 15	Return of IRS year-end transmittals. FPS GOLD will send your institution the <a href="#">Transmittal for Information Returns</a> . This form must be reviewed by you, signed, and returned to FPS GOLD by February 15. FPS GOLD will not transmit your file to the IRS without having the <i>signed copy</i> of this form.
February 28	All transmissions are due to the IRS.

**Note:** FPS GOLD will charge for programming changes and reruns if errors could have been found on the test data. Please review the test information thoroughly.

**IMPORTANT**

No CIS file maintenance should be done until year-end forms and reports are printed and populated by FPS GOLD and then received and verified by your institution. CIS records do not have a year-end file. Any rerun after December 31 looks at a live CIS file.

**Note:** 1042-S (Foreign Person Withholding) will automatically be put into the IRS GOLD system at year-end if the **1042S Form Required?** field is checkmarked on the Deposits > Account Information > Interest Fields screen, Withholding Information tab. Your institution must enter any missing information on the form, print your own forms from IRS GOLD, and mail them to your customers by January 31.

## Loans

Passbook loan and overdraft loan statements need to be ordered on the Loans > System Setup > Yearend Screen.

Contract Collections Interest and Reserve Interest are combined with the deposit accounts by the Social Security number of the IRS owner on the [1099-INT form](#). You need to contact your loan department to verify that this reporting is done for this type of interest payment.

## IRS Corrections

Throughout the year, you will need to manually create various IRS forms for corrections, etc. FPS GOLD provides you with two easy ways to create, edit, and print necessary IRS forms, including your IRS corrections.

1. IRS GOLD® in CIM GOLD – This application makes creating and printing your IRS forms and instructions easy. All corrections should be printed in IRS GOLD. Contact your FPS GOLD loan banking consultant for more information on this product.

**Note:** When you print IRS statements, the IRS instructions must also be sent to your customers (this is the information found on the back of each IRS form).

2. FPS GOLD will transmit any manually created IRS information for the current tax year for you. You will receive a report and transmittal of items being forwarded. You must sign and return the [transmittal form](#) in order for the transmission to be sent.

*You are responsible for printing any IRS information created manually using IRS GOLD. Corrections and/or new forms for loans are made by the lender during the year and entered into the IRS GOLD system in CIM GOLD.*

At monthend, FPS GOLD creates a report called the [IRS Information Return System Report \(FPSDR029\)](#). All changes and/or new forms that your institution has entered in the IRS GOLD system year-to-date, as well as prior years' entries, are on the report. FPS GOLD automatically deletes forms older than five years every October. These reports are not sent again with the transmittal forms.

Your deposit department should review this data monthly to ensure accuracy. Watch for duplicate items for the same account, etc. Monitoring this will allow you to quickly review, sign, and return the original transmittal form prior to each transmission by FPS GOLD. A program is run in February and in June to create the records to be sent. Transmissions are sent in March and in July.

**Note:** When FPS GOLD transmits to the IRS for the current calendar year, we only send information pertaining to that year. If you have made corrections for an earlier year, a transmission for each year other than the current calendar year must be sent. This will require a special work order; it does not happen automatically. A tax year is the year the information should have been reported, not the year you entered the information into the IRS GOLD system. For these special transmissions, a separate transmittal form is created each year. The account owner must sign these forms and the original must be forwarded to FPS GOLD prior to our sending the transmission.

Please coordinate these transmissions with your loan department as both departments' corrections are sent at the same time. Transmissions are sent in March through July. Refer to the [Monitor](#) for specific dates.

### 3 Deposit Yearend Run Options Screen

Use the Deposits > Miscellaneous > Yearend Run Options screen to set up how you want [year-end](#) to be processed for your institution. The Yearend Run Options screen is mandatory when setting up your deposit year-end statements and documents in order to be IRS compliant. Once you are finished setting up this screen, click <Save Changes> and the system will automatically update your changes and apply them appropriately to year-end processing. Your FPS GOLD deposit banking representative will also be notified via e-mail concerning any changes made to this screen. FPS GOLD keeps a hard copy of your year-end setup.

*☞ Remember:* This screen must be completed by [October 15](#) each year for successful year-end processing.

This screen ensures that your year-end statements and documents are printed exactly as you want them to be printed or saved to GOLDView. If you need assistance in completing the options on this screen, please contact your FPS GOLD Deposit Banking Consultant.

See the following example of this screen:



Contact Name	CINDY FISHER		
Contact Email	ccfisher@fps-gold.com		
Contact Phone	801-429-2297		
<b>1099 Processing</b>	Retirement		
<b>Combined 1099 Interest Statement</b>			
Test Statements to Print	150	<input checked="" type="checkbox"/> Create New Form if Address Changed	
Test Run Date	11/03/2012	Last Test Run Date:	11/03/2008
Interest Limit	10.00	<input checked="" type="checkbox"/> Print 'C' by Closed Accounts	
Sort Forms By	SSN	<input type="checkbox"/> Print CD Balance and Maturity	
		<input checked="" type="checkbox"/> Print IRS Owner's Name Only	
<input checked="" type="checkbox"/> Run 1099INT Customer Information Report			
<input checked="" type="checkbox"/> Run 1099INT Social Security Report			
<input checked="" type="checkbox"/> Run 1099INT Social Security Address Report			
<input checked="" type="checkbox"/> Run 1099INT Alpha Report			
	<input checked="" type="radio"/> Sort By Institution <input type="radio"/> Sort By Office		
<input checked="" type="checkbox"/> Run 1099INT Numeric List			
<input checked="" type="checkbox"/> Run 1099INT Exception Report			
Last Changed	10/24/2008	By	2078
<b>Shipping</b>			
Mailing Service	FPSGOLD Mailing Service	<input type="checkbox"/> You have a contract for FPS-GOLD Mailing Service	
Attention:	CINDY FISHER		
Print		Print Preview	
			Save Changes

## Deposits &gt; Miscellaneous &gt; Yearend Run Options Screen

Using this screen you can establish what IRS forms are printed by FPS GOLD and sent to your customers using our mailing service. You can also request certain year-end reports be run. Reports are no longer printed by FPS GOLD. You can use GOLDView to print any reports you want to.

At the top of this screen is the person at your institution who is responsible for handling your institution's deposit year-end. Enter the name, email, and phone number in the **Contact Name**, **Contact Email**, and **Contact Phone** fields. FPS GOLD processes at night and on weekends. If we have a question during these hours, we must be able to contact someone who is authorized to make a decision, or the processing of your year-end information may be delayed until normal business hours. We won't contact anyone in the middle of the night, but we will attempt to contact you in the early evening, Saturday, or Sunday.

The following sections explain how to use this screen to submit your request.

- [1099 Processing tab](#)

- [Retirement tab](#)
- [Shipping field group](#)

### 3.1 1099 Processing tab

The 1099 Processing tab on the [Deposit Yearend Run Options screen](#) allows you to select the number of 1099-INT statements you want printed in the test run, the test run date of those statements, the type of [1099-INT reports](#) you want run, and other options affecting your year-end processing.

#### Combined 1099 Interest Statement field group

The Combined 1099 Interest Statement field group contains information specific to 1099-INT statements (forms). Account owners with more than one account at your institution that earned interest will be combined by Social Security number and then sorted according to how the **Sort Forms By** field is selected (which is either by Social Security number, alphabetically by name, or by ZIP code). If the SSN is blank or if it contains all 1's, 8's, 9's, or 0's, a separate 1099 combined statement will be generated for that account.

Combined 1099's can also include interest earned on *contract collection* accounts and interest earned on *loan reserves*. There are institution options available to turn these off. Consult your loan year-end specialist if you want loan interest included on 1099-INT statements. These options are set up using the Loans > System Setup > Yearend screen.

The **2nd TIN Not.** field will be indicated on the 1099-INT statement if you have sent out two B notices within three years of each other and they have not been resolved. See the IRS B Notices field group on the CIS > Entity Maintenance - Person, Trust, or Organization screens for more information.

For both the test and final year-end runs, FPS GOLD stores all reports and forms in GOLDView. Your institution-defined number of days to keep those reports and forms may be different from FPS GOLD's default settings. Test-run forms will be stored for 90 days. Final year-end forms will be stored for 180 days.

See the following definitions for more information on each of the 1099-INT options:

Field	Description
<b>Test Statements to Print</b> (DYFNBR)	<p>Enter the number of statements (forms) you want printed during the test run of the year-end processing. In November (according to the <a href="#">Action Dates</a>), FPS GOLD will print this amount of test statements and mail them to you for verification purposes. The system uses the <b>Sort Forms By</b> field to determine the order of the statements. For example, if the <b>Sort Forms By</b> field is set to SSN, and this field was set to 50, then the first 50 Social Security numbers of account owners would be printed in numerical order for the test run.</p> <p><b>Note:</b> Accounts that are set to <b>Do Not Mail</b> are sorted to the top, so you may want to make sure that your test run is large enough to include accounts <i>without</i> the <b>Do Not Mail</b> indicator (see test example below).</p>

Field	Description
<b>Test Run Date</b> (DYTSTD)	<p>Enter the date you want FPS GOLD to process a test run of your 1099-INT statements. The statements created from this test will be sent to you for verification of their accuracy. Only the number of statements indicated in the <b>Test Statements to Print</b> field (see above) will be printed during the test run. If any part of the test statements appear to be incorrect, or you have selected the wrong setup options, contact your FPS GOLD Deposit Banking Consultant. Failure to contact FPS GOLD will result in your final run at year-end being incorrect, and you will be charged for any necessary reruns.</p> <p><b>Note:</b> This date should be no later than the first of November. See <a href="#">Action Dates for Year-end Processing</a> for more information.</p> <p>Test statements will have the word "TEST" across the front of each statement, as shown below:</p>

\*\*\*\* DO NOT MAIL \*\*\*\*

Fannie Mae Fiscal  
1234 Penny Lane

4

Account Information For:  
Taxpayer ID: XXX-XX-72  
Copy B for Recipient

Page

**Form 1099-INT**  
**Interest Income for 2010**

**TEST**

Account Number	Box 1 Interest	Box 2 Early Withdrawal Penalty	Box 4 Federal Income Tax Withheld
01 507079 00	363.82	0.00	0.00
01 507509 09	599.47	0.00	0.00
01 507510 01	599.47	0.00	0.00
01 508479 06	779.74	0.00	0.00
01 510650 02	356.42	0.00	0.00
01 510778 03	363.82	0.00	0.00
01 510779 01	363.82	0.00	0.00
01 517628 07	356.42	0.00	0.00
01 527499 05	5,279.87	0.00	0.00
01 527500 07	5,279.87	0.00	0.00
01 527501 05	5,279.87	0.00	0.00
01 527502 03	986.08	0.00	0.00
Total	20,608.67	0.00	0.00

123 FPS GOLD BANK  
OMB No. 1234-0112

Federal ID Number

**This is important tax information and is required to file a return.**

Field	Description
<b>Last Test Run Date</b>	This field displays the last test run date of 1099-INT processing for your institution.
<b>Interest Limit</b> (DYTNIL)	Enter the minimum amount of interest earned by accounts for which you want to print a 1099-INT statement for. Government regulations require that all deposit accounts earning \$10.00 of interest or more must have a 1099-INT statement sent to the account owner at year-end processing. However, your institution may choose to send 1099-INT statements for all deposit accounts earning any amount of interest. In this case, you would enter "0" in this field or leave it blank.
<b>Sort Forms By</b> (DYSB01)	Use the drop-down list to select the method you want to use to sort statements. You can sort statements by Social Security number, alphabetically by the last name of the IRS owner, or by ZIP code of the address of the IRS owner.

Field	Description
<b>Create New Form If Address Changed</b>	Check this box to print separate 1099-INT statements if IRS owners' addresses are not exactly the same. If this box is checked, all accounts with the same Social Security number are combined and for every different address, a separate 1099 is created for those owners.
<b>Print 'C' by Closed Accounts</b>	Check this box if you want a "C" to print to the right of the account number on the 1099-INT statement. The "C" indicates that the account is closed.
<b>Print CD Balance and Maturity</b>	<p>Check this box if you want to include the <b>Year-End Balance</b> and <b>Maturity Date</b> fields on 1099-INT statements. The <b>Year-End Balance</b> field displays the balance of the account at year-end. The <b>Maturity Date</b> is the date of maturity for any CDs, if applicable. Year-end balance and maturity date are not furnished to the IRS.</p> <p>See the following example of where these fields are found on the 1099-INT statement:</p>

Form 1099-INT Interest Income for 2012					
Account Number	Box 1 Interest Income	Box 2 Early Withdrawal Penalty	Box 4 Federal Income Tax Withheld	Year-End Balance	Maturity Date
01 507079 00	363.82	0.00	0.00	1,234.52	
01 507509 09	599.47	0.00	0.00	546.32	02-03-12
01 507510 01	599.47	0.00	0.00	2,703.13	
01 508479 06	779.74	0.00	0.00	1,350.10	07-02-12
01 510650 02	356.42	0.00	0.00	125.23	
01 510778 03 C	363.82	0.00	0.00	0.00	
<b>Total</b>	<b>3,062.74</b>	<b>0.00</b>	<b>0.00</b>	<b>5959.30</b>	

Field	Description
<b>Print IRS Owner's Name Only</b>	Check this box if you only want the name of the IRS owner to print on the 1099-INT statement. When combining by Social Security number, we may include husbands, wives, and children's accounts, where different co-owners are listed. If this box is checked, only the IRS owner's name and Social Security number will be shown. If this box is not checked, then the lowest account number in the accounts combined will print both owner and co-owner names and only one name will be sent to the IRS.

The following is an example of a final 1099-INT statement:

FPS GOLD BANK  
1525 W. 820 N.  
Provo, UT 84606  
(801) 555-1212

\*\*\*\* DO NOT MAIL \*\*\*  
Fannie Mae Fiscal  
1234 Penny Lane  
Provo, UT 84601

Account Information For:  
Taxpayer ID: XXX-XX-7210  
Copy B for Recipient

Page 1 of 1

**Form 1099-INT**  
**Interest Income for 2012**

Account Number	Box 1 Interest Income	Box 2 Early Withdrawal Penalty	Box 4 Federal Income Tax Withheld	Year-End Balance	Maturity Date
01 507079 00	363.82	0.00	0.00	1,234.52	
01 507509 09	599.47	0.00	0.00	546.32	02-03-12
01 507510 01	599.47	0.00	0.00	2,703.13	
01 508479 06	779.74	0.00	0.00	1,350.10	07-02-12
01 510650 02	356.42	0.00	0.00	125.23	
01 510778 03 C	363.82	0.00	0.00	0.00	
<b>Total</b>	<b>3,062.74</b>	<b>0.00</b>	<b>0.00</b>	<b>5959.30</b>	

123 FPS GOLD BANK Federal ID Number 12-3456789  
OMB No. 1234-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

C=Closed

**1099-INT (Front of Statement)****INSTRUCTIONS:****Box 1.**

Show s interest paid to you during the calendar year by the payer. This does not include interest show n in box 3. If you receive a Form 1099-INT for interest paid on a tax-exempt obligation, see the instructions for your income tax return.

**Box 2.**

Show s interest or principal forfeited because of early withdraw al of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the instructions for Form

1040 to see where to take the deduction.

Box 4.

Shows backup withholding. Generally, a payer must backup withhold at a 28% rate if you did not furnish your taxpayer identification number (TIN) or you did not furnish the correct TIN to the payer. See FORM W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. **INCLUDE THIS AMOUNT ON YOUR INCOME TAX RETURN AS TAX WITHHELD.**

**NOMINEES:** If this form includes amounts belonging to another person(s), you are considered a nominee recipient. Complete a Form 1099-INT for each of the other owners showing the income allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owners as the "recipient." File Form(s) 1099-INT with FORM 1096, Annual Summary and Transmittal of U.S. Information Returns, with the Internal Revenue Service Center for your area. On Form 1096 list yourself as the "filer." A husband or wife is not required to file a nominee return to show amounts owned by the other.

Year-end balance and maturity date are not furnished to the IRS.

**1099-INT (Back of Statement)**

## 1099-INT Reports

Below the Combined 1099 Interest Statement field group on the 1099 Processing tab of the [Yearend Run Options screen](#) is a list of reports. You can check the box in front of each of them to indicate you want to run that report at year-end, as shown below:

The screenshot shows the '1099 Processing' screen with the 'Retirement' tab selected. Under the 'Combined 1099 Interest Statement' section, there are several configuration options: 'Test Statements to Print' (50), 'Test Run Date' (12/01/2012), 'Interest Limit' (empty), 'Sort Forms By' (SSN), and 'Last Test Run Date' (empty). Below these is a list of reports with checkboxes: 'Run 1099INT Customer Information Report' (unchecked), 'Run 1099INT Social Security Report' (checked), 'Run 1099INT Social Security Address Report' (checked), 'Run 1099INT Alpha Report' (checked), 'Sort By Institution' (selected radio button), 'Sort By Office' (unselected radio button), 'Run 1099INT Numeric List' (checked), and 'Run 1099INT Exception Report' (checked). At the bottom, it shows 'Last Changed' (12/31/2012) and 'By' (1907). A red rectangular box highlights the report selection area.

Deposits > Miscellaneous > Yearend Run Options Screen, 1099 Processing Tab

The reports are available for you to view, print, and save from GOLDView in CIM GOLD once you indicate you want the report.

Each report is documented fully in the Deposit Reports manual on DocsOnWeb.

See the following links for more information concerning each of these reports:

- [1099INT Customer Information Report](#)
- [1099INT Social Security Report](#)
- [1099INT Social Security Address Report](#)
- [1099INT Alpha Report By Office or Institution](#)
- [1099INT Numeric List \(Account Number Order\)](#)
- [1099INT Exception Report](#)

For a quick definition of all the reports available from the Yearend Run Options screen, see [Reports](#).

## 3.2 Retirement tab

The Retirement tab on the [Deposit Yearend Run Options screen](#) allows you to select whether you want FPS GOLD to print and send you all year-end retirement forms or whether your institution uses a third-party source to handle retirement account year-end forms.

The following is an example of the Retirement tab:

Deposits > Miscellaneous > Yearend Run Options Screen, Retirement Tab

At the top of this tab are two radio buttons called **Forms Handled by FPS GOLD** and **Forms**



**Handled by Outside Sources.** Select one of these buttons to indicate how your institution handles year-end retirement forms (1099R, 1099Q, 1099SA, 5498, and 5498SA). You either have FPS GOLD process the year-end forms, send the information to the IRS, and print the test and final year-end forms and send them to you during year-end processing, or you use an outside source to compile the year-end retirement data and generate the forms.

If you select **Forms Handled by FPS GOLD**, then you must also enter information about how you want the forms sorted and how many test forms you want printed for each type of retirement account. See the following definitions for more information.

Field	Description
<b>1099R/1099Q/1099SA Forms</b> (FPDYFNBR)	<p>Enter the number of statements (forms) you want printed during the test run of the year-end processing for these retirement forms in the <b>Test Forms to Print</b> field. In November (according to the <a href="#">Action Dates</a>), FPS GOLD will print this amount of test forms and mail them to you for verification purposes. The system uses the <b>Sort Forms By Alpha</b> and <b>Sort By SSN</b> fields to determine the order of the forms for both the test and final runs. For example, if the <b>Sort Forms By SSN</b> field is selected, and the <b>Test Forms to Print</b> field was set to 50, then the first 50 Social Security numbers of account owners would be printed in numerical order for the test run.</p> <p><b>Note:</b> Accounts that are set to <b>Do Not Mail</b> are included first, so you may want to make sure that your test run is large enough to include accounts <i>without</i> the <b>Do Not Mail</b> indicator.</p> <p>For more information and an example concerning the specific type of form, see <a href="#">Forms</a>.</p>
<b>5498/5498SA Forms</b> (FPDYFNBR)	<p>Enter the number of statements (forms) you want printed during the test run of the year-end processing for the 5498 and 5498SA forms in the <b>Test Forms to Print</b> field. In November (according to the <a href="#">Action Dates</a>), FPS GOLD will print this amount of test forms and mail them to you for verification purposes. The system uses the <b>Sort Forms By Alpha</b> and <b>Sort By SSN</b> fields to determine the order of the forms for both the test and final runs. For example, if the <b>Sort Forms By SSN</b> field is selected, and the <b>Test Forms to Print</b> field was set to 50, then the first 50 Social Security numbers of account owners would be printed in numerical order for the test run.</p> <p><b>Note:</b> Accounts that are set to <b>Do Not Mail</b> are included first, so you may want to make sure that your test run is large enough to include accounts <i>without</i> the <b>Do Not Mail</b> indicator.</p> <p>For more information and an example concerning the specific type of form, see <a href="#">Forms</a>.</p>
<b>Run 1099R Summary Report</b>	<p>Check this box if you want to generate a 1099R Summary Report (FPSDR152-2) in GOLDView in CIM GOLD. The 1099R Summary Report shows how the information on retirement accounts, their owners, and distributions made from those retirement accounts was sent to the IRS. This report can be sorted by short name or by Social</p>

Field	Description
	<p>Security Number (SSN). It lists one account per distribution code in summary form, printing the IRS owner's short name and Social Security Number. This report matches the 1099R forms..</p> <p>For detailed information concerning this report, see <a href="#">FPSDR152-2</a> in the Deposit Reports manual in DocsOnWeb. Also see the <a href="#">Reports</a> section of this help for summary information concerning this report.</p>
<p><b>Run 1099R/1099Q/1099SA Detail Report</b></p>	<p>Check this box if you want to generate a 1099R, 1099Q, or 1099-SA Detail Report (FPSDR152-1, FPSDR154, and FPSDR103) in GOLDView in CIM GOLD. These reports are run in November every year as a test. On December 31st of every year these reports are run. These reports show the relationship between retirement accounts, their owners, and distributions made from those retirement accounts. These reports can be sorted by short name or by Tax Identification number (TIN). They will list every account by social security number and each distribution code.</p> <p>For detailed information concerning these reports, see <a href="#">FPSDR152-1</a>, <a href="#">FPSDR154</a>, and <a href="#">FPSDR103</a> in the Deposit Reports manual in DocsOnWeb. Also see the <a href="#">Reports</a> section of this help for summary information concerning this report.</p>
<p><b>Run 5498/5498SA Detail Report</b></p>	<p>Check this box if you want to generate the 5498 or 5498-SA report (FPSDR174 and FPSDR104) in GOLDView. The Retirement 5498 and 5498-SA Reports shows all retirement accounts that require 5498 or 5498-SA forms. Every account holder that has a balance or contribution for the year must receive a 5498 or 5498-SA form by January 31. If the account holder has more than one account, only one account number will be printed. This account number must be used for any corrections after year-end.</p> <p>For detailed information concerning these reports, see <a href="#">FPSDR174</a> and <a href="#">FPSDR104</a> in the Deposit Reports manual in DocsOnWeb. Also see the <a href="#">Reports</a> section of this help for summary information concerning these reports.</p>
<p><b>Test Run Date</b></p>	<p>Enter the date you want FPS GOLD to process a test run of your retirement forms. The forms created from this test will be sent to you for verification of their accuracy. Only the number of forms indicated in the <b>Test Forms to Print</b> field (see above) will be printed during the test run. If any part of the test forms appear to be incorrect, or you have selected the wrong setup options, contact your FPS GOLD deposit banking consultant and tell him or her about the errors. Failure to contact FPS GOLD will result in your final run at year-end being incorrect, and you will be charged for any necessary reruns.</p> <p><b>Note:</b> This date should be no later than the first of November. See <a href="#">Action Dates for Year-end Processing</a> for more information.</p> <p>Test forms will have the word "TEST" across the front of each form.</p>

Field	Description
<b>Include Beginning of Year Balance if Account Converted This Year</b>	<p>Check the checkbox for this field if you want to include the beginning of year balance on the combined retirement statement for accounts that converted this year.</p> <p><b>WARNING:</b> If the beginning of year balance field was not converted from your previous data center, then it is not possible to include it on the statement. To keep the transaction detail from looking out of balance, this option must not be set.</p>
<b>Last Changed ... By</b>	These fields display the date and employee number of the person who last made changes to this screen.

### 3.3 Shipping field group

The fields in the Shipping field group on the [Yearend Run Options screen](#) allow you to indicate how you want year-end forms sent to your institution. If you use an outside source to process year-end documents, you can ignore these fields.

In the **Mailing Service** field, select how you want the forms sent to you. You can use FedEx, UPS, or FPS GOLD's mailing service. FPS GOLD offers a mailing service for the distribution of statements and forms to your account holders. If you would like FPS GOLD to mail your year-end forms, please select "FPSGOLDMailing Service" from the **Mailing Service** drop-down field. Also indicate whether or not you currently have a contract with FPS GOLD for mailing services. If you choose to have FPS GOLD mail your year-end forms and do not have a contract, one will be provided to ensure timely delivery of your forms.

In the **Attention** field, enter the person at your institution responsible for year-end forms.

## 4 Reports

Using the [Yearend Run Options screen](#), you can signify which year-end reports you want run for your institution. These reports are available to view, print, and save in GOLDView in CIM GOLD. Each of these reports must be checkmarked on the Yearend Run Options screen. Detailed information for each report is found in the Deposit Reports manual in CIM GOLD.

The reports are divided by section:

- [1099INT Reports](#)
- [Retirement Reports](#)
- [Balancing Report](#)

### 1099INT Reports

Check the boxes in front of each report on the Yearend Run Options screen, [1099 Processing tab](#) for the report you want to run in GOLDView. The following paragraphs briefly describe each of the

1099INT reports.

- [1099INT Customer Information Report](#)
- [1099INT Social Security Report](#)
- [1099INT Social Security Address Report](#)
- [1099INT Alpha Report By Office or Institution](#)
- [1099INT Numeric List \(Account Number Order\)](#)
- [1099INT Exception Report](#)

## • 1099INT Customer Information Report

The 1099INT Customer Information Report is printed in account number order. This report also includes a balancing report that you will need to balance to your transmittal. This is the only report that breaks out Contract Collections and Reserve Interest.

FPS GOLD does not print a paper copy. All reports requested will be placed in CIM GOLDView.

See the following example of the 1099INT Customer Information Report.

12-31-2012		FPS GOLD BANK		1099I CUSTOMER INFORMATION REPORT					1 FPSDR150 8003 PAGE 1	
ACCOUNT NUMBER	SOC SEC NBR	SHORT NAME	REC ACT TYP TYP	YTD INTEREST	YTD PENALTY	YTD WITHHOLD	CURRENT BALANCE	MATURITY DATE	CLS	
11 000000 08	123-45-6789	ADAMS G	DEP INT	124.40	0.00	0.00	7,769.01			
11 000001 06	123-45-6789	BLET SH	DEP INT	37.79	0.00	0.00	1,278.87			
11 000005 07	123-45-6789	NORRIS QQ	DEP INT	464.93	0.00	0.00	8,561.34			
11 000007 03	123-45-6789	KARRAS GW	DEP INT	441.26	0.00	0.00	10,304.35			
11 000008 01	123-45-6789	KARRAS EM	DEP INT	0.00	0.00	0.00	0.00		CLS	
11 000011 05	123-45-6789	NORRIS QQ	DEP INT	16.22	0.00	0.00	365.25			
11 000012 03	123-45-6789	SIMMONS VE	DEP INT	9.53	0.00	0.00	117.87			
11 000013 01	123-45-6789	BLETCHERS D	DEP INT	124.55	0.00	0.00	174.89			
11 000030 05	123-45-6789	ASHLEY QQ	DEP INT	122.85	0.00	0.00	5,073.13			
11 000037 00	123-45-6789	BOLT ED	DEP INT	69.87	0.00	0.00	2,682.00			
11 000059 04	123-45-6789	CASTINGSO JL	DEP INT	38.28	0.00	0.00	2,120.47			
11 000063 06	123-45-6789	CALL AA	DEP INT	240.04	0.00	0.00	8,528.10			
11 000064 04	123-45-6789	BUNDT D	DEP INT	231.22	0.00	0.00	8,432.45			
11 000066 09	123-45-6789	WARREN QQ	DEP INT	8.13	0.00	0.00	274.94			
11 000091 07	12-3456789	PROFIT SHARING	DEP INT	101.88	0.00	0.00	3,447.13			
11 000114 07	123-45-6789	BUSH GW	DEP INT	206.99	0.00	0.00	3,332.88			
11 000115 04	123-45-6789	BUSH GW	DEP INT	0.00	0.00	0.00	11.07			
** INSTITUTION TOTALS										
			INTEREST (INT) ACCTS	RETIREMENT (RET) ACCTS	TOTAL OF ALL ACCOUNTS					
			-----	-----	-----					
TOTAL NUMBER OF ACCOUNTS			44998	666	26629					
YEAR TO DATE INTEREST TOTAL			6,769,727.69	260,017.11	6,059,744.80					
YEAR TO DATE PENALTY TOTAL			6,232.58	16,454.03	16,686.61					
YEAR TO DATE WITHHOLDING TOTAL			6,807.00	0.00	6,807.00					
COMBINED ACCOUNT BALANCES			126,034,708.42	6,246,131.96	162,280,840.38					
TOTAL NUMBER OF CLOSED ACCOUNTS			6680	66	6454					
NEGATIVE INTEREST SET TO ZERO					687.22-					

For more information concerning this report, see [1099INT Customer Information Report](#) in DocsOnWeb.

• **1099 Numeric Listing (Account Number Order)**

The Numeric Listing is printed in account number order and contains the same information as the Alpha Listing.

FPS GOLD does not print a paper copy. All reports requested will be placed in CIM GOLDView.

See the following example of a 1099 Numeric Listing Report (account number order).

12-31-2012		FPS GOLD BANK		1099I NUMERIC LISTING				1 FPSDR150 8007 PAGE 1			
ACCOUNT NUMBER	SOC SEC NBR	SHORT NAME	REC ACT TYP TYP	YTD INTEREST	YTD PENALTY	YTD WITHHOLD	CURRENT BALANCE	MATURITY DATE	CLS		
11 000000 00	999-88-7777	BARRY D	DEP INT	124.40	0.00	0.00	7,769.01				
11 000001 01	999-77-6666	BUCK UN	DEP INT	37.79	0.00	0.00	1,278.87				
11 000002 02	999-66-5555	NORRIS MS	DEP INT	464.93	0.00	0.00	8,561.34				
11 000003 03	999-55-4444	KUNTZ D	DEP INT	441.26	0.00	0.00	10,304.35				
11 000004 04	999-44-3333	CARRIES FS	DEP INT	0.00	0.00	0.00	0.00		CLS		
11 000005 05	999-33-2222	JACOBS MS	DEP INT	16.22	0.00	0.00	365.25				
11 000006 06	999-22-1111	SIMIONS SS	DEP INT	9.53	0.00	0.00	117.87				
11 000007 07	999-11-0000	FLETCHER F	DEP INT	124.55	0.00	0.00	174.89				
11 000008 08	999-00-9999	ASHTON QQ	DEP INT	122.85	0.00	0.00	5,073.13				
11 000009 09	999-99-8888	BLUE ED	DEP INT	69.87	0.00	0.00	2,682.00				
11 000010 10	999-88-7766	CHAMBERLAIN	DEP INT	38.28	0.00	0.00	2,120.47				

  

** INSTITUTION TOTALS			
	INTEREST (INT) ACCTS	RETIREMENT (RET) ACCTS	TOTAL OF ALL ACCOUNTS
TOTAL NUMBER OF ACCOUNTS	44998	666	26629
YEAR TO DATE INTEREST TOTAL	6,769,727.69	260,017.11	6,059,744.80
YEAR TO DATE PENALTY TOTAL	6,232.58	16,454.03	16,686.61
YEAR TO DATE WITHHOLDING TOTAL	6,807.00	0.00	6,807.00
COMBINED ACCOUNT BALANCES	126,034,708.42	6,246,131.96	162,280,840.38
TOTAL NUMBER OF CLOSED ACCOUNTS	6680	66	6454
NEGATIVE INTEREST SET TO ZERO			687.22-

For more information concerning this report, see [1099INT Numeric List \(Account Number Order\)](#) in DocsOnWeb.

• **1099INT Alpha Listing by Office or Institution**

The 1099INT Alpha Listing report may be sorted either by short name within office or by short name within institution. Please indicate your preference on the Yearend Run Options screen.

FPS GOLD does not print a paper copy. All reports requested will be placed in CIM GOLDView.

See the following example of a 1099 Alpha Listing Report by office.

12-31-2012		FPS GOLD BANK		1099I ALPHA LISTING BY OFFICE				3 FPSDR150 8006 PAGE		1
ACCOUNT NUMBER	SOC SEC NBR	SHORT NAME	REC ACT TYP TYP	YTD INTEREST	YTD PENALTY	YTD WITHHOLD	CURRENT BALANCE	MATURITY DATE	CLS	
02 123456 06	99-1234567	A & C INC.	DEP INT	47.56	0.00	0.00	1,440.44			
02 123456 08	99-1234567	A&G BUILDING	DEP INT	5.98	0.00	0.00	0.00		CLS	
02 123456 02	99-1234567	AAA ALPHABET	DEP INT	0.00	0.00	0.00	41,005.95			
02 123456 01	999-12-3456	ABARB LK	DEP INT	211.64	0.00	0.00	5,491.68			
02 123456 08	999-12-3456	ABARB LK	DEP INT	111.71	0.00	0.00	344.30			
02 123456 07	999-12-3456	ABARB LK	DEP INT	27.65	0.00	0.00	1,377.12			
02 123456 07	99-1234567	ACTION PERF	DEP INT	398.59	0.00	0.00	18,811.18			
02 123456 04	99-1234567	ACTION PERF	DEP INT	0.00	0.00	0.00	6,032.87			
02 123456 02	99-1234567	ACTION PERF	DEP INT	0.00	0.00	0.00	187,474.77			
02 123456 00	99-1234567	ACTION PERF	DEP INT	0.00	0.00	0.00	2,579.95			
02 123456 06	99-1234567	ACTION PERF	DEP INT	0.00	0.00	0.00	129,581.94			
02 123456 07	99-1234567	ADD PADDING	DEP INT	2,765.50	0.00	0.00	7,881.34-			
02 123456 05	999-12-3456	ADAMS QH	DEP INT	5.13	0.00	0.00	173.36			
02 123456 03	999-12-3456	ADAMS QJ	DEP RET	12.92	0.00	0.00	357.92	10-15-98		
02 123456 02	999-12-3456	ADAMS QX	DEP INT	2.70	0.00	0.00	91.30			
02 123456 08	999-12-3456	ADAMS QY	DEP INT	0.00	0.00	0.00	13.37			
02 123456 01	999-12-3456	ADAMS QE	DEP INT	205.55	0.00	0.00	10,119.78			
02 123456 03	999-12-3456	ADAMSON XT	DEP INT	2,770.83	0.00	0.00	57,032.51	7-27-98		
02 123456 06	99-1234567	ADAMSON ENTER	DEP INT	1,680.96	0.00	0.00	0.00	2-02-98	CLS	
02 123456 07	999-12-3456	ADAMSON, QM	DEP INT	1,033.54	0.00	0.00	24,842.56	2-04-98		
02 123456 02	999-12-3456	ADAMSON, QQ	DEP INT	1,780.85	0.00	0.00	41,837.25	3-21-98		
02 123456 08	99-1234567	ADVENTURELAND	DEP INT	0.00	0.00	0.00	4,858.78			
** INSTITUTION TOTALS										
				INTEREST (INT) ACCTS	RETIREMENT (RET) ACCTS	TOTAL OF ALL ACCOUNTS				
				-----	-----	-----				
TOTAL NUMBER OF ACCOUNTS				44998	566	26629				
YEAR TO DATE INTEREST TOTAL				6,769,727.69	260,017.11	6,059,744.80				
YEAR TO DATE PENALTY TOTAL				6,232.58	16,454.03	16,686.61				
YEAR TO DATE WITHHOLDING TOTAL				6,807.00	0.00	6,807.00				
COMBINED ACCOUNT BALANCES				126,034,708.42	6,246,131.96	162,280,840.38				
TOTAL NUMBER OF CLOSED ACCOUNTS				5680	66	6454				
NEGATIVE INTEREST SET TO ZERO						687.22-				

For more information concerning this report, see [1099INT Alpha Report By Office or Institution](#) in DocsOnWeb.

## • 1099INT Social Security Report

The 1099INT Social Security report is printed in Social Security number order. There are two different types for the Social Security List. One report will display the account dollar figures, and the second report displays the address information.

FPS GOLD does not print a paper copy. All reports requested will be placed in CIM GOLDView.

See the following example of a Social Security Report showing the dollar amounts. (See also [1099INT Social Security Address Report](#) below.)

12-31-2012		FPS GOLD BANK		1099I SOCIAL SECURITY REPORT				1 FPSDR150 8004 PAGE		8
ACCOUNT NUMBER	SOC SEC NBR	SHORT NAME	REC ACT TYP TYP	YTD INTEREST	YTD PENALTY	YTD WITHHOLD	CURRENT BALANCE	MATURITY DATE	CLS	
01	123456 03	111-22-3333	SMITH Q	DEP INT	0.62	0.00	0.00	299.11-		
03	123456 04	111-33-2222	SMITH Q	DEP INT	0.00	0.00	0.00	24.40		
02	123456 04	222-33-4444	DOHERTY Q	DEP INT	0.00	0.00	0.00	0.00	CLS	
04	123456 05	222-44-3333	OLIVER QQ	DEP INT	438.91	0.00	0.00	15,710.35		
05	123456 05	333-44-5555	MACDONALD	DEP INT	52.52	0.00	0.00	5,475.06		
06	123456 02	333-55-4444	NICHOLS Q	DEP INT	8.29	0.00	0.00	758.01		
07	123456 01	444-55-6666	NICHOLS Z	DEP INT	8.82	0.00	0.00	232.04		
08	123456 04	444-66-5555	NICHOLS T	DEP INT	12.44	0.00	0.00	108.42		
19	123456 04	555-66-7777	MARYBOY Q	DEP INT	0.00	0.00	0.00	1,263.92		
01	123456 03	555-77-6666	TALBOTT T	DEP INT	6.96	0.00	0.00	53.28		
03	123456 05	666-77-8888	TALBOTT J	DEP INT	7.94	0.00	0.00	82.54		
02	123456 07	666-88-7777	PASTORELLA	DEP INT	2,508.76	0.00	0.00	86,920.03		
03	123456 05	777-88-9999	PASTORELLA	DEP INT	89.08	0.00	0.00	6,943.42		
04	123456 02	777-99-8888	TIMM QQ	DEP INT	31.56	0.00	0.00	0.00		
04	123456 06	888-99-0000	FURMAN Q	DEP INT	8.06	0.00	0.00	168.41		
05	123456 07	888-00-9999	GANAPOL QQ	DEP INT	0.00	0.00	0.00	170.41-		
06	123456 05	999-00-1111	CRAIGUN QQ	DEP INT	0.00	0.00	0.00	1,496.59		
07	123456 08	999-11-0000	KELLOGG R	DEP INT	1.64	0.00	0.00	55.38		
08	123456 05	000-11-2222	FRANCIS F	DEP INT	0.00	0.00	0.00	1.70		
09	123456 05	000-22-1111	FRANCIS S	DEP INT	0.00	0.00	0.00	0.00	CLS	
09	123456 06	123-45-6789	JENSE U	DEP INT	0.00	0.00	0.00	0.00	CLS	

  

** INSTITUTION TOTALS			
	INTEREST (INT) ACCTS	RETIREMENT (RET) ACCTS	TOTAL OF ALL ACCOUNTS
TOTAL NUMBER OF ACCOUNTS	44998	666	26629
YEAR TO DATE INTEREST TOTAL	6,769,727.69	260,017.11	6,059,744.80
YEAR TO DATE PENALTY TOTAL	6,232.58	16,454.03	16,686.61
YEAR TO DATE WITHHOLDING TOTAL	6,807.00	0.00	6,807.00
COMBINED ACCOUNT BALANCES	126,034,708.42	6,246,131.96	162,280,840.38
TOTAL NUMBER OF CLOSED ACCOUNTS	6680	66	6454
NEGATIVE INTEREST SET TO ZERO			587.22-

For more information concerning this report, see [1099INT Social Security Report](#) in DocsOnWeb.

• **1099INT Social Security Report Address**

The 1099INT Social Security Address Report shows tax identification numbers (TINs) and the associated account(s) and name and address information. Invalid customer numbers (CIDs) will be sent to the IRS with blank TINs. The report is sorted by tax identification number, then by account number within each tax identification number.

Detailed information on interest, withholding, and penalty amounts for retirement accounts will print on this report. However, retirement accounts will not print a 1099INT form or go to the IRS for reporting.

FPS GOLD does not print a paper copy. All reports requested will be placed in CIM GOLDView.

See the following example of a Social Security Address Report. (See also [1099INT Social Security Report](#) above.)

12-31-2012		FPS GOLD BANK		1099I SOCIAL SECURITY ADDRESS REPORT				1 FPSDR150 8005 PAGE 9	
ACCOUNT NUMBER	SOC SEC NBR	NAME AND ADDRESS INFORMATION	CITY,	STATE	ZIP				
01 123456 03	029-30-1234	JENN M SCHMIERER 1938 N 205 W0	TORREY	UT	84775				
02 123456 05	029-32-1234	BRIAN J KINGSLEY 1824 WHITE OAK RD	RALEIGH	NC	27608				
03 123456 01	029-48-1234	BRENT R LESTER DUSTIN SMITH P 0 BOX 750	TORREY	UT	84775				
04 123456 07	029-48-1234	BRENT R LESTER TRAVIS L SMITH P 0 BOX 750	TORREY	UT	84775				
05 123456 09	029-48-1234	BRENT R LESTER P 0 BOX 750133	TORREY	UT	84775				
06 123456 03	029-64-1234	MIKE J BEUKEMA P 0 BOX 501	LOA	UT	84747				
07 123456 00	030-14-1234	HARRY N STALEY P 0 BOX 184	MT PLEASANT	UT	84647				
08 123456 04	030-14-1234	HARRY N STALEY P 0 BOX 184	MT PLEASANT	UT	84647				
09 123456 01	030-14-1234	HARRY N STALEY P 0 BOX 184	MT PLEASANT	UT	84647				
10 123456 02	030-32-1234	JANICE E BIRD JEFF M SCHMIERER P 0 BOX 7501	TORREY	UT	84775				
01 123456 06	033-70-1234	ASHLEY GARRETT NANCY R GARRETT 624 W 2550 S	PROVO	UT	84604				
02 123456 05	034-34-1234	DAVID O MCKAY P 0 BOX 449	MORONI	UT	84646				

  

** INSTITUTION TOTALS			
	INTEREST (INT) ACCTS	RETIREMENT (RET) ACCTS	TOTAL OF ALL ACCOUNTS
	-----	-----	-----
TOTAL NUMBER OF ACCOUNTS	44998	666	25664
YEAR TO DATE INTEREST TOTAL	6,769,727.69	260,017.11	6,059,744.80
YEAR TO DATE PENALTY TOTAL	6,232.58	16,454.03	16,686.61
YEAR TO DATE WITHHOLDING TOTAL	6,807.00	0.00	6,807.00
COMBINED ACCOUNT BALANCES	126,034,708.42	6,246,131.96	162,280,840.38
TOTAL NUMBER OF CLOSED ACCOUNTS	6680	66	6454
NEGATIVE INTEREST SET TO ZERO			687.22-

For more information concerning this report, see [1099INT Social Security Address Report](#) in DocsOnWeb.

## • 1099INT Exception Report

The 1099INT Exception Report lists accounts not reported on a 1099 form. Accounts are exceptions for the following reasons:

- Missing or invalid Social Security number (reported with a blank TIN to the IRS).
- Interest is below the minimum specified on the Yearend Run Options screen.
- A hold code 017 or W8 indicator = Y.
- 1042-S required.
- W-8 on file.

See the following example of a 1099INT Exception Report. The table above the report example explains the codes in the far right column of the table.



CDE (three-character Exception Code)	
Digit 1	C=Closed
Digit 2	Y=Good Tax ID#
	N=Bad Tax ID#
	S=Separate 1099 for each account with Tax ID#
Digit 3	I=No Interest paid for current tax year
	8=W8 on file (neither U.S. nor Canadian citizen)
	4=Both W8 and 1042 on file (Canadian citizen)
	H=Hold code exists (deposit accounts = hold code 17, loan account = hold code 26)
	- =Negative year-to-date interest
	N=No mail
	E=The total is less than the institution's limit, usually \$10.00. Or any other exception not listed above.

12-31-2002		FPS GOLD BANK		1099I EXCEPTION REPORT				1 FPSDR151 0000 PAGE 9		
ACCOUNT NUMBER	SOC SEC NBR	SHORT NAME	REC TYP	ACT TYP	YTD INTEREST	YTD PENALTY	YTD WITHHOLD	CURRENT BALANCE	MATURITY DATE	CDE
02 131054	07 999-11-5906	BAD A	DEP	INT	0.00	0.00	0.00	37.77		YE
03 146550	02 999-13-0699	ROBINSON T	DEP	INT	0.00	0.00	0.00	0.00		YE
04 141181	07 999-15-2072	MCLEAN D	DEP	INT	0.00	0.00	0.00	2,225.42		YE
06 142127	01 999-15-2072	MCLEAN S	DEP	INT	0.00	0.00	0.00	279.05		YE
07 130273	05 999-21-6874	FRANDEEN TV	DEP	INT	0.00	0.00	0.00	542.45		YE
08 142926	04 999-41-1192	MOTTO FG	DEP	INT	0.00	0.00	0.00	9.25		YE
09 146921	07 999-51-0290	VAN WAGONER Y	DEP	INT	0.00	0.00	0.00	2,406.56		YE
01 140075	02 999-64-9616	GORDON TE	DEP	INT	0.00	0.00	0.00	17.27		YE
02 156638	01 999-76-9299	VAN WAGONER NG	DEP	INT	0.00	0.00	0.00	2,542.42		YE
02 130422	07 999-90-6624	SOTARS U	DEP	INT	0.00	0.00	0.00	21.06		YE
04 130274	07 999-90-6623	SOTARS U	DEP	INT	0.00	0.00	0.00	6,749.22		YE
05 150025	04 999-40-1619	GETTHORELAND G	DEP	INT	0.00	0.00	0.00	86.26		YE
06 140051	02 999-40-1619	GETTHORELAND G	DEP	INT	0.00	0.00	0.00	2,795.29		YE
07 141031	01 999-76-9215	ANDERSON G	DEP	INT	0.00	0.00	0.00	224.89		YE
08 140727	09 999-11-4642	PAAS B	DEP	INT	0.00	0.00	0.00	1,266.49		YE
09 157044	07 999-52-7594	TEAM B	DEP	INT	0.00	0.00	0.00	152.57		YE
02 131150	02 999-44-2070	BERG PL	DEP	INT	0.00	0.00	0.00	23.52		YE
02 131156	05 999-44-2070	BERG PL	DEP	INT	0.00	0.00	0.00	500.48		YE
06 201123	21	PROTTER DT	DEP	INT	0.00	0.00	0.00	239.40	0-00-01	NE
09 200264	16	LEVINE M	DEP	INT	167.60	0.00	0.00	6,425.46	0-00-01	NE
09 400150	12	LEVINE M	DEP	INT	1,745.26	0.00	0.00	10423	00 ON FILE	NE
								10423	00 ON FILE	NE
								10423	00 ON FILE	NE

12-31-2002		FPS GOLD BANK		1099I EXCEPTION REPORT				1 FPSDR151 0000 PAGE 201		
** EXCEPTION TOTALS										
								TOTAL OF ALL ACCOUNTS		
								-----		
TOTAL NUMBER OF ACCOUNTS								45665		
YEAR TO DATE INTEREST TOTAL								5,749.22		
YEAR TO DATE PENALTY TOTAL								39.72		
YEAR TO DATE WITHHOLDING TOTAL								0.00		
COMBINED ACCOUNT BALANCES								89,350,925.27		
TOTAL NUMBER OF CLOSED ACCOUNTS								5545		

1099 Exception Report

For more information concerning this report, see [1099INT Exception Report](#) in DocsOnWeb.

## Retirement Reports

- 1099-R/1099-Q/1099-SA Detail Report

The 1099-R/1099-Q/1099-SA Detail Report lists by Social Security number the detail of the information reported on each 1099-R/1099-Q/1099-SA form. These reports sort in the same order as the [1099-R/1099-Q/1099-SA forms](#).

FPS GOLD does not print a paper copy of this report. All reports requested will be placed in GOLDView in CIM GOLD.

For detailed information concerning these reports, see [FPSDR152-1](#), [FPSDR154](#), and [FPSDR103](#) in the Deposit Reports manual in DocsOnWeb.

See the following example of a 1099-R Detail Report follows.

12-31-2012		FPS GOLD BANK		1099R DETAIL REPORT			1 FPSDR152 8011 PAGE		10
SOC SEC NBR	ACCOUNT NUMBER	SHORT NAME	DIST CODE	YTD DISTRIB.	YTD FEDERAL WITHHOLDING	YTD STATE WITHHOLDING	TYPE		
888-12-3450	11 123456 06	JONES P	1	13,800.00 13,800.00	0.00 0.00	0.00 0.00	IRA		
888-12-3451	02 123456 03	LYON RT	7	880.06 880.06	0.00 0.00	0.00 0.00	IRA		
888-12-3452	03 123456 00	ORTON KL	7	1,500.00 1,500.00	0.00 0.00	0.00 0.00	IRA		
888-12-3453	04 123456 08	HUNTER CV	7	4,490.69 4,490.69	0.00 0.00	0.00 0.00	IRA		
888-12-3454	05 123456 01	OLROD FF	2B	380.00 380.00	0.00 0.00	0.00 0.00	IRA		
888-12-3455	06 123456 02	SMITH GH	7	100.00 100.00	0.00 0.00	0.00 0.00	IRA		
888-12-3456	07 123456 08	KROMPEL J	7	1,458.75 1,458.75	0.00 0.00	0.00 0.00	IRA		
888-12-3457	08 123456 06	JENSEN TG	7	875.00 875.00	0.00 0.00	0.00 0.00	IRA		
888-12-3458	09 123456 00	HARMON DF	4	69,524.66 69,524.66	0.00 0.00	0.00 0.00	IRA		
NUMBER OF SEPP ACCOUNTS		5							
NUMBER OF SIMPLE ACCOUNTS		4							
NUMBER OF IRA ACCOUNTS		145							
NUMBER OF QUALIFIED ACCOUNTS		0							

## • 1099-R/1099-Q/1099-SA Summary Report

The 1099-R/1099-Q/1099-SA report accompanies the [1099-R/1099-Q/1099-SA forms](#). This report lists all of the accounts that received a 1099-R/1099-Q/1099-SA and the amounts reported to the IRS. This report will sort in the same order as your 1099-R/1099-Q.1099-SA forms.

FPS GOLD does not print a paper copy. All reports requested will be placed in CIM GOLDView.

For detailed information concerning these reports, see [FPSDR152-1](#), [FPSDR154](#), and [FPSDR103](#) in the Deposit Reports manual in DocsOnWeb.

See the following example of a 1099-R Summary Report.

12-31-2001		FPS GOLD BANK		1099R SUMMARY REPORT			1 FPSDR152 8010 PAGE		5
ACCOUNT NUMBER	SOC SEC NBR	SHORT NAME	DIST CODE	YTD DISTRIB. WITH W/HLDNG	YTD FEDERAL WITHHOLDING	YTD STATE WITHHOLDING	TYPE		
01 123456 08	888-20-2134	DUNFORD SD	7	236.00 236.00	0.00 0.00	0.00 0.00	IRA		
12 123456 90	888-22-2134	TAFT RT	7	1,550.90 1,550.90	0.00 0.00	0.00 0.00	IRA		
23 123456 01	888-22-2134	LEAVITT AQ	7	600.00 600.00	0.00 0.00	0.00 0.00	IRA		
34 123456 12	888-24-2134	MARASCO HJ	4	7,735.55 7,735.55	0.00 0.00	0.00 0.00	IRA		
** INSTITUTION TOTALS				335	DISTRIB. WITH W/HLDNG	555,418.97			
NBR OF DISTRIBUTIONS				0.00	STATE WITHHOLDINGS	0.00			
NUMBER OF SEPP ACCOUNTS						5			
NUMBER OF SIMPLE ACCOUNTS						4			
NUMBER OF IRA ACCOUNTS						145			
NUMBER OF QUALIFIED ACCOUNTS						0			

- **5498/5498-SA Report**

The Retirement 5498 and 5498-SA Reports shows all retirement accounts that require 5498 or 5498-SA forms. Every account holder that has a balance or contribution for the year must receive a 5498 or 5498-SA form by January 31. If the account holder has more than one account, only one account number will be printed. This account number must be used for any corrections after year-end.

For detailed information concerning these reports, see [FPSDR174](#) and [FPSDR104](#) in the Deposit Reports manual in DocsOnWeb.

12-31-2012		FPS GOLD BANK		5498 REPORT			FPSDRL74 8029 PAGE 1		
SSN#	IRS OWNERS NAME ACCOUNT #	TYPE	CONTRIBUTIONS THIS YEAR	ROLLOVER AMT	END OF YEAR BALANCE	EMPLOYER SEP	SIMPLE	ROTH CONVERSION	RECHAR
123-45-7223	FRANKLIN BL 01 7890101 0	IRA	0.00	0.00	27,511.10	0.00	0.00	0.00	0.00
555-55-9234	CRUISE TO 01 1000003 7	SEP	0.00	0.00	5,587.79	0.00	0.00	0.00	0.00
444-23-7897	CRUISE SI 01 1000008 0	R0L	0.00	0.00	681.46	0.00	0.00	0.00	0.00
567-12-1230	ADMIS BE 01 2000009 4	IRA	0.00	0.00	2,324.73	0.00	0.00	0.00	2,307.68
555-62-1230	ADMIS CE 01 1000004 5	RTH	0.00	0.00	2,872.56	0.00	0.00	0.00	0.00
456-56-0560	HOUSTON SM 01 1313131 3	IRA	0.00	0.00	7,670.22	0.00	0.00	0.00	0.00
456-56-0560	HOUSTON SM 01 1414141 4	IRA	0.00	0.00	517.95	0.00	0.00	0.00	0.00
456-56-0560	HOUSTON SM 01 1212121 7	IRA	0.00	0.00	5,591.26	0.00	0.00	0.00	0.00
456-56-0560	HOUSTON SM 01 1000401 1	0.00 RTH	0.00	0.00	517.95	0.00	0.00	0.00	0.00
TOTAL ACCOUNTS	4	0.00	0.00	0.00	14,297.38	0.00	0.00	0.00	0.00
500-50-5000	SMITH ED 01 1000023 4	IRA	100.00	0.00	107.75	0.00	0.00	0.00	0.00
TOTAL ACCOUNTS	10	0.00	100.00	0.00	53,382.77	0.00	0.00	0.00	2,307.68

## • Balancing Report

The 1099I IRS Tape Totals Report is created with [1099 forms](#) on 1-part paper. Balance this report with your [transmittal](#) to the IRS. This is not an optional report.

The following is an example of the 1099I IRS Tape Totals Report:

12-31-02 FPS GOLD BANK		1099I IRS TAPE TOTALS		1 FPS1099T PAGE 1	
TOTAL DESCRIPTION		TOTALS SENT TO IRS	TOTALS NOT SENT		
YEAR-TO-DATE INTEREST TOTAL		1,267,365.77	5,908.74		
YEAR-TO-DATE PENALTY TOTAL		5,122.93	6.58		
YEAR-TO-DATE WITHHOLDING TOTAL		14.70	0.00		
TOTAL NUMBER OF DETAIL (B) RECORDS SENT		2,452			

12-31-02 FPS GOLD BANK		1099I IRS TAPE TOTALS		1 FPS1099T PAGE 1	
TOTAL DESCRIPTION		TOTALS SENT TO IRS	TOTALS NOT SENT		
GROSS DISTRIBUTIONS TOTAL		266,738.68	0.00		
TAXABLE AMOUNT		266,738.68	0.00		
FEDERAL INCOME TAX WITHHELD		1,715.85	0.00		
EMPLOYEE CONTRIBUTIONS		0.00	0.00		
TOTAL EMPLOYEE CONTRIBUTIONS		0.00	0.00		
TOTAL NUMBER OF DETAIL (B) RECORDS SENT		42			

### Tape Totals

## 5 Forms

Retirement forms can be processed by FPS GOLD or an outside source of your choosing. You must select how you want Year-End Retirement Forms generated using the Yearend Run Options screen, [Retirement tab](#).

The following paragraphs describe each of the Retirement Forms available.

- [1099-R Forms](#)
- [1099-Q Forms](#)
- [1099-SA Forms](#)
- [5498 Forms](#)
- [5498-SA Forms](#)

### • 1099-R Forms

1099-R forms are created for retirement accounts with distributions in the current year. A separate 1099-R is created for each Distribution Type. FPS GOLD will print the 1099-R forms using a laser printer. FPS GOLD will create two forms for customers with withholding and make one additional copy for the bank. Your bank's phone number will also be added to this form. Qualified plans will be on a separate form. The only sorts available are by Social Security Number or Alphabetically.

See the following example of a 1099-R form:

<input type="checkbox"/> VOID <input type="checkbox"/> CORRECTED		OMB No. 1545-0119		Distributions From Pensions, Annuities, Retirement or Profit-Sharing Plans, IRAs, Insurance Contracts, etc.
PAYER'S name, street address, city, state, and ZIP code		1 Gross distribution	2012	
		\$		
PAYER'S federal identification number		2a Taxable amount		
RECIPIENT'S identification number		\$		
RECIPIENT'S name		2b Taxable amount not determined <input type="checkbox"/>	Total distribution <input type="checkbox"/>	Copy 1 For State, City, or Local Tax Department
Street address (including apt. no.)		3 Capital gain (included in box 2a)	4 Federal income tax withheld	
City, state, and ZIP code		\$	\$	
10 Amount allocable to IRR within 5 years		5 Employee contributions / Designated Roth contributions or insurance premiums	6 Net unrealized appreciation in employer's securities	
11 1st year of desig. Roth contrib.		\$	\$	
Account number (see instructions)		7 Distribution code(s)	8 Other	
		IRA/SEP/SIMPLE <input type="checkbox"/>	\$	%
		9a Your percentage of total distribution %	9b Total employee contributions	
		\$	\$	
		12 State tax withheld	13 State/Payer's state no.	14 State distribution
		\$	\$	\$
		15 Local tax withheld	16 Name of locality	17 Local distribution
		\$	\$	\$
		\$	\$	\$
Form 1099-R		www.irs.gov/form1099r		Department of the Treasury - Internal Revenue Service

1099-R Form

- **1099-Q Forms**

1099-Q forms list the gross distribution (including in-kind distributions) paid this year from a Qualified Tuition program or a Coverdell ESA.

The following is an example of a 1099-Q form.

<input type="checkbox"/> CORRECTED (if checked)		OMB No. 1545-1760		Payments From Qualified Education Programs (Under Sections 529 and 530)
PAYER'S/TRUSTEE'S name, street address, city, state, ZIP code, and telephone no.		1 Gross distribution	2012	
		\$		
PAYER'S/TRUSTEE'S federal identification no.		2 Earnings		
RECIPIENT'S social security number		\$		
RECIPIENT'S name		3 Basis	4 Trustee-to-trustee transfer	Copy B For Recipient  This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.
Street address (including apt. no.)		\$	<input type="checkbox"/>	
City, state, and ZIP code		5 Check one:	6 If this box is checked, the recipient is not the designated beneficiary <input type="checkbox"/>	
Account number (see instructions)		• Qualified tuition program— Private <input type="checkbox"/> of State <input type="checkbox"/> • Coverdell ESA <input type="checkbox"/>		
		If the fair market value (FMV) is shown below, see Pub. 970, Tax Benefits for Education, for how to figure earnings.		
Form 1099-Q		(keep for your records)		Department of the Treasury - Internal Revenue Service

1099Q Form

- **1099-SA Forms**

1099-SA forms lists distributions from Health Savings Accounts plans.

The following is an example of a 1099-SA form.

<input type="checkbox"/> CORRECTED (if checked)		OMB No. 1545-1517		<b>Distributions From an HSA, Archer MSA, or Medicare Advantage MSA</b>
TRUSTEE'S/PAYER'S name, street address, city, state, and ZIP code		<b>2012</b>		
PAYER'S federal identification number	RECIPIENT'S identification number	<b>1</b> Gross distribution \$	<b>2</b> Earnings on excess cont. \$	<b>Copy B For Recipient</b>  This information is being furnished to the Internal Revenue Service.
RECIPIENT'S name		<b>3</b> Distribution code	<b>4</b> FMV on date of death \$	
Street address (including apt. no.)		<b>5</b> HSA <input type="checkbox"/>		
City, state, and ZIP code		Archer MSA <input type="checkbox"/>		
Account number (see instructions)		MA MSA <input type="checkbox"/>		
Form <b>1099-SA</b>		(keep for your records)		Department of the Treasury - Internal Revenue Service

**1099-SA Form**

- **5498 Forms**

5498 forms are created for all retirement plans to disclose the fair market value and the owners in distribution. The only sorts available are by Social Security Number or Alphabetically. If you do not select a sort, the default is Social Security number.

The following is an example of a 5498 form.

<input type="checkbox"/> CORRECTED (if checked)		OMB No. 1545-0747		<b>IRA Contribution Information</b>
TRUSTEE'S or ISSUER'S name, street address, city, state, and ZIP code		<b>1</b> IRA contributions (other than amounts in boxes 2-4, 8-10, 13a, and 14a)	<b>2012</b>	
		\$		
		<b>2</b> Rollover contributions		
		\$		
		<b>3</b> Roth IRA conversion amount	<b>4</b> Recharacterized contributions	<b>Copy B</b>
		\$	\$	
TRUSTEE'S or ISSUER'S federal identification no.	PARTICIPANT'S social security number	<b>5</b> Fair market value of account	<b>6</b> Life insurance cost included in box 1	<b>For Participant</b>
		\$	\$	
PARTICIPANT'S name		<b>7</b> IRA <input type="checkbox"/> SEP <input type="checkbox"/> SIMPLE <input type="checkbox"/> Roth IRA <input type="checkbox"/>	This information is being furnished to the Internal Revenue Service.	
		<b>8</b> SEP contributions		
Street address (including apt. no.)		\$	<b>9</b> SIMPLE contributions	
		\$	\$	
City, state, and ZIP code		<b>10</b> Roth IRA contributions	<b>11</b> If checked, required minimum distribution for 2013 <input type="checkbox"/>	
		\$		
		<b>12a</b> RMD date	<b>12b</b> RMD amount	
			\$	
		<b>13a</b> Postponed contribution	<b>13b</b> Year	<b>13c</b> Code
		\$		
Account number (see instructions)		<b>14a</b> Repayments	<b>14b</b> Code	
		\$		
Form <b>5498</b>		(keep for your records)	www.irs.gov/form5498	Department of the Treasury - Internal Revenue Service

5498 Form

- **5498-SA Forms**

Every account holder that has a balance or contribution to a Health Savings Account (HSA) for the year must receive a 5498-SA form by January 31st.

The following is an example of a 5498-SA form.



<input type="checkbox"/> CORRECTED (if checked)		OMB No. 1545-1518		<b>2012</b>	<b>HSA, Archer MSA, or Medicare Advantage MSA Information</b>		
TRUSTEE'S name, street address, city, state, and ZIP code <b>FPS GOLD BANK</b> <b>1525 W. 820 N.</b> <b>Provo, UT 84601</b>  <b>(801) 555-4242</b>		1 Employee or self-employed person's Archer MSA contributions made in 2012 and 2013 for 2012 \$ _____	2 Total contributions made in 2012 \$ _____			Form <b>5498-SA</b>	
TRUSTEE'S federal identification number _____	PARTICIPANT'S social security number _____	3 Total HSA or Archer MSA contributions made in 2013 for 2012 \$ _____		<b>Copy B</b>  <b>For Participant</b>  The information in boxes 1 through 6 is being furnished to the Internal Revenue Service.			
PARTICIPANT'S name _____ _____		4 Rollover contributions \$ _____				5 Fair market value of HSA, Archer MSA, or MA MSA \$ _____	
Street address (including apt. no.) _____		6 HSA <input checked="" type="checkbox"/>					
City, state, and ZIP code _____		Archer MSA <input type="checkbox"/>					
Account number (see instructions) _____		MA MSA <input type="checkbox"/>					
Form <b>5498-SA</b>		(keep for your records)		Department of the Treasury - Internal Revenue Service			

## 6 Transmittal of Information Returns

The following is an example of the IRS transmittal form, which FPS GOLD will send to you. You must sign and return this to FPS GOLD no later than [February 15](#). FPS GOLD will not send the data to the IRS until this form is returned. One will be created for [1099INT](#) and one for [1099-R/1099-Q/1099-SA](#) forms. (**Note:** We will accept fax copies with authorized signature.)

(See Instructions on back)			
<p><b>Form 4804</b> (Rev. May 2002)</p>	Department of the Treasury – Internal Revenue Service <b>Transmittal of Information Returns</b> <b>Reported Magnetically</b> Please type or print in <b>BLACK</b> ink  (Use a separate Form 4804 for each file.)	IRS Use Only	OMB No. 1545-0367
1. Type of file represented by this transmittal <input type="checkbox"/> Original <input type="checkbox"/> Test  <input type="checkbox"/> Replacement <input type="checkbox"/> Correction		2. Tax year for which media is submitted	
3. Transmitter Control Code (TCC) (Required)		4. Name of transmitter (Owner of TCC)	
5. Name/address of company and name/title of person who should receive correspondence on problem files (should be the same information as in 'T' record)  Company Name _____  Address _____  City _____ State _____ Zip _____  Contact Person _____ Telephone Number (____) _____  E-mail Address _____			
6. Forms 1098, 1099, 5498, W-2G Combined total of payee 'B' records	7. Form 1042-S Total number of 'Q' records	8. Form 8027 Total number of establishment(s) reported	9. Transmitter in-house media number(s)
<b>Affidavit</b>  Under penalties of perjury, I declare that I have examined this transmittal, including accompanying documents, and, to the best of my knowledge and belief, it is correct and complete. (Normally, the payer must sign the affidavit above. The authorized agent of the payer may sign if all conditions are met as stated on the back.)			
<b>SIGNATURE (Required)</b>		IRS Use Only	
Title	Date		
Catalog Number 272101		Form <b>4804</b> (Rev. 5-2002)	

## 7 Year-end Reports Balancing

Three separate reports are needed for balancing the year-end 1099 information generated by FPS GOLD:

- One of either the [1099INT Customer Information](#), [Numeric](#), [Alpha](#), or [Social Security](#) reports
- The [1099INT IRS Tape Totals Report](#)
- The [1099INT Exception Report](#)

The following table shows the totals that should balance on these reports.

The information in the cell below this one . . .	Should match the information in the cell below this one
The institution totals for interest, penalty, and withholding under the column INTEREST (INT) ACCTS on the <a href="#">1099INT Customer Information</a> , <a href="#">Numeric</a> , <a href="#">Alpha</a> , or <a href="#">Social Security</a> reports	The combined year-to-date interest of the TOTALS SENT TO IRS and the TOTALS NOT SENT column of the 1099INT IRS Tape Totals Report plus the institution totals in the TOTAL OF ALL ACCOUNTS column of the <a href="#">1099INT Exception Report</a>

The following example illustrates interest balancing:

### 1099INT IRS Tape Totals Report

Year-to-Date Interest Totals Sent to IRS	\$12,103,950.21
+Year-to-Date Interest Totals Not Sent	\$1,856.51

### 1099INT Exception Report

+Year-to-Date Interest Totals of All Accounts	\$41,546.48
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\$12,147,353.20

### 1099INT Social Security Report

=Year-to-Date Interest (INT) Accts	\$12,147,353.20
------------------------------------	-----------------

**Note:** You should be able to balance the total to the YTD total interest posted from the Deposit system. To do this, you can run a GOLDWriter to pull in the total YTD interest using the field DMYITL (**Prior YTD Interest**). Run this on the live (online) set, *not* the monthend set.

The following shows the example above on the IRS Tape Totals Report.

12-31-YY		YOUR INST. NAME AND NUMBER		1099I IRS TAPE TOTALS REPORT			1 FPS1099T		PAGE 1	
TOTAL DESCRIPTION		TOTALS SENT TO IRS			+		TOTALS NOT SHET			
YEAR-TO-DATE INTEREST TOTAL		12,103,980.21					1,856.51			
YEAR-TO-DATE PENALTY TOTAL		0.00					0.00			
NOT USED		0.00					0.00			
YEAR-TO-DATE WITHHOLDING TOTAL		4,591.73					0.00			
NOT USED		0.00					0.00			
NOT USED		0.00					0.00			
NOT USED		0.00					0.00			
NOT USED		0.00					0.00			
NOT USED		0.00					0.00			
NOT USED		0.00					0.00			

  

12-31-YYYY		YOUR INST. NAME & NUMBER		1099I EXCEPTION REPORT			1 FSDR151 8008		PAGE 180	
ACCOUNT NUMBER	SOC SEC NBR	SHORT NAME	REC ACT TYP TYP	YTD INTEREST	YTD PENALTY	YTD WITHHOLD	CURRENT BALANCE	MATURITY DATE	CDE	
16 004169 07			DEP INT	3.65	0.00	0.00	269.41		YY	
** EXCEPTION TOTALS							TOTAL OF ALL ACCOUNTS			
TOTAL NUMBER OF ACCOUNTS							10855			
YEAR TO DATE INTEREST TOTAL							41,546.45			
YEAR TO DATE PENALTY TOTAL							78.72			
YEAR TO DATE WITHHOLDING TOTAL							0.00			
COMBINED ACCOUNT BALANCES							29,250,925.27			
TOTAL NUMBER OF CLOSED ACCOUNTS							2045			

  

12-31-YYYY		YOUR INST. NAME & NUMBER		1099I SOCIAL SECURITY REPORT			1 FSDR150 8004		PAGE 180	
ACCOUNT NUMBER	SOC SEC NBR	SHORT NAME	REC ACT TYP TYP	YTD INTEREST	YTD PENALTY	YTD WITHHOLD	CURRENT BALANCE	MATURITY DATE	CLS	
02 110036 00			DEP INT	0.00	0.00	0.00	104.50			
02 120274 04			DEP INT	0.00	0.00	0.00	9,949.08			
02 009239 03			DEP INT	6.71	0.00	0.00	225.70			
02 101701 09			DEP INT	0.00	0.00	0.00	994.79-			
02 000918 04			DEP INT	3,166.48	0.00	0.00	72,931.09			
02 142652 06			DEP INT	0.00	0.00	0.00	156.16			
02 142651 08			DEP INT	0.00	0.00	0.00	261.55			
02 101504 07			DEP INT	0.00	0.00	0.00	12,682.25			
01 200219 03			DEP INT	313.25	0.00	0.00	3,941.61			
01 200326 08			DEP INT	0.00	0.00	0.00	3,483.62			
02 110403 01			DEP INT	976.20	0.00	0.00	50,610.86			
14 107680 05			DEP INT	0.00	0.00	0.00	0.00			CLS
14 103684 01			DEP INT	0.00	0.00	0.00	0.00			CLS
14 103685 08			DEP INT	0.00	0.00	0.00	0.00			CLS
12 201980 04			DEP INT	4,043.97	0.00	0.00	161,723.41			
08 101992 04			DEP INT	0.00	0.00	0.00	45,332.20			
08 900444 03			DEP INT	170.70	0.00	0.00	2,462.21			2-09-00
18 140496 08			DEP INT	167.33	0.00	0.00	1,550.51			
08 900893 07			DEP INT	68.11	0.00	0.00	1,431.48			4-04-02
08 142197 09			DEP INT	0.00	0.00	0.00	19.10-			
08 002472 08			DEP INT	36.32	0.00	0.00	1,228.75			
08 110072 05			DEP INT	0.00	0.00	0.00	0.00			CLS
19 150014 03			DEP INT	0.00	0.00	0.00	336.43			
** INSTITUTION TOT....							TOTAL OF ALL ACCOUNTS			
TOTAL NUMBER OF ACCOUNTS							22978			
YEAR TO DATE INTEREST TOTAL							12,147,353.20			
YEAR TO DATE PENALTY TOTAL							15,454.02			
YEAR TO DATE WITHHOLDING TOTAL							3,807.00			
COMBINED ACCOUNT BALANCES							127,024,708.42			
TOTAL NUMBER OF CLOSED ACCOUNTS							3380			
NEGATIVE INTEREST SET TO ZERO							74			
							3454			
							307.22-			

Illustration of Year-end Balancing