

Deposit Year-End Processing



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Deposit Year-End Processing

Deposit year-end processing is available from the Year-End Run Options screen in CIM GOLD (Deposits > Miscellaneous). This information guides you through the Year-End Run Options screen to ensure timely and accurate testing and submission of required deposit year-end forms for the IRS.

Deposit Year-End Request Overview

To ensure that your year-end statements and forms are printed correctly, FPS GOLD requires that you fill out a year-end request using the CIM GOLD [Deposit Year-End Run Options](#) screen. This request gives FPS GOLD the needed information to properly set up the end-of-year processing. You need to complete this request by [October 15](#).

FPS GOLD will run a test of year-end processing for your institution around the first of November, using the October monthend file. The reports, forms, and statements created from this test will be available in GOLDView to you to verify and confirm the accuracy of the information. If any part of the test run appears to be incorrect, contact your FPS GOLD banking consultant to resolve the errors. Do not assume the live run will be correct. *Reruns of year-end are billable if the problem existed in the test run.*

Your cooperation in completing the year-end form, verifying year-end tests, and discussing year-end concerns with your FPS GOLD banking consultant *well before the deadline* is necessary for a successful year-end.

IMPORTANT

Any program changes, enhancements, or requests needed for year-end must be submitted by [October 1](#). This includes any Proxy, reports, and all other requests that need to be done by December 31. Year-end tests cannot be processed after November 30 without programming assistance.

Action Dates for Year-End Processing

The following deadlines apply to year-end processing and submitting forms.

October 15	Last day to make any changes to the Year-End Run Options screen for tests and year-end processing. Also the last day to submit year-end programming changes and requests.
November 1	FPS GOLD begins running and sending year-end tests for institutions.
Mid-November	Any problems on accounts (such as missing Social Security numbers or hold codes) should have been identified and corrected by your institution.
December 31	Year-end processing begins.
January 2	Remember to close your General Ledger books on the first working day of the new year so that your General Ledger reports use the proper balances. Contact your FPS GOLD Accounting Banking Consultant if you have questions.
January 7-17	FPS GOLD begins sending out year-end forms and reports. All deposit material will be shipped together. Reports and forms will be produced according to how the Year-End Run Options screen was set up and will be shipped at the same time with a check-off list enclosed.
February 15	Return of IRS year-end transmittals. FPS GOLD will send your institution the Transmittal for Information Returns . This form must be reviewed by you, signed, and returned to FPS GOLD by February 15. FPS GOLD will not transmit your file to the IRS without having the <i>signed copy</i> of this form.
February 28	All transmissions are due to the IRS.

NOTE

FPS GOLD will charge for programming changes and reruns if errors could have been found on the test data. Please review the test information thoroughly.

IMPORTANT

No CIS file maintenance should be done until year-end forms and reports are printed and populated by FPS GOLD and then received and verified by your institution. CIS records do not have a year-end file. Any rerun after December 31 uses your live CIS file.

NOTE

1042-S (Foreign Person Withholding) will automatically be put into the IRS GOLD system at year-end if the **1042S Form Required?** field is checkmarked on the Deposits > Account Information > Interest Fields screen, Withholding Information tab. Your institution must enter the required information on the form, print your own forms from IRS GOLD, and mail them to your customers by January 31.

Loans

Passbook loan and overdraft loan statements need to be ordered on the Loans > System Setup > Year-End Screen.

Contract Collections Interest and Reserve Interest are combined with the deposit accounts by the Social Security number of the IRS owner on the [1099-INT form](#). You need to contact your loan department to verify that this reporting is done for this type of interest payment.

IRS Corrections

Throughout the year, you will need to manually create various IRS forms for corrections, etc. FPS GOLD provides you with two easy ways to create, edit, and print necessary IRS forms, including your IRS corrections.

1. IRS GOLD® in CIM GOLD – This application makes creating and printing your IRS forms and instructions easy. All corrections should be printed in IRS GOLD. Contact your FPS GOLD loan banking consultant for more information on this product.

NOTE

When you print IRS statements, the IRS instructions must also be sent to your customers (this is the information found on the back of each IRS form).

2. FPS GOLD will transmit any manually created IRS information for the current tax year for you. You will receive a report and transmittal of items being forwarded. You must sign and return the [transmittal form](#) in order for the transmission to be sent.

You are responsible for printing any IRS information created manually using IRS GOLD. Corrections and/or new forms for loans are made by the lender during the year and entered into the IRS GOLD system in CIM GOLD.

At monthend, FPS GOLD creates a report called the [IRS Information Return System Report \(FPSDR029\)](#). All changes and/or new forms that your institution has entered in the IRS GOLD system year-to-date, as well as prior years' entries, are on the report. FPS GOLD automatically deletes forms older than five years every October. These reports are not sent again with the transmittal forms.

Your deposit department should review this data monthly to ensure accuracy. Watch for duplicate items for the same account, etc. Monitoring this will allow you to quickly review, sign, and return the original

transmittal form prior to each transmission by FPS GOLD. A program is run in February and in June to create the records to be sent. Transmissions are sent in March and in July.

NOTE

When FPS GOLD transmits to the IRS for the current calendar year, we only send information pertaining to that year. If you have made corrections for an earlier year, a transmission for each year other than the current calendar year must be sent. This will require a special work order; it does not happen automatically. A tax year is the year the information should have been reported, not the year you entered the information into the IRS GOLD system. For these special transmissions, a separate transmittal form is created each year. The account owner must sign these forms and the original must be forwarded to FPS GOLD prior to our sending the transmission.

Please coordinate these transmissions with your loan department as both departments' corrections are sent at the same time. Transmissions are sent in March through July. Refer to the [Monitor](#) for specific dates.

Deposit Year-End Run Options Screen

Use the Deposits > Miscellaneous > Year-End Run Options screen to set up how you want [year-end](#) to be processed for your institution. The Year-End Run Options screen is mandatory when setting up your deposit year-end statements and documents in order to be IRS compliant. Once you are finished setting up this screen, click <Save Changes> and the system will automatically update your changes and apply them appropriately to year-end processing. Your FPS GOLD deposit banking representative will also be notified via e-mail concerning any changes made to this screen. FPS GOLD keeps a hard copy of your year-end setup.

Important: This screen must be completed by [October 15](#) each year for successful year-end processing.

This screen ensures that your year-end statements and documents are printed exactly as you want them to be printed or saved to GOLDView. If you need assistance in completing the options on this screen, please contact your FPS GOLD Deposit Banking Consultant.

See the following example of this screen:

Contact Name: Annette Cassity
Contact Email: annette@bosv.com
Contact Phone: 307-885-6231

1099 Processing Retirement

Combined 1099 Interest Statement

Test Statements to Print: 200
Test Run Date: 11/06/2020
Last Test Run Date: 11/06/2015
Interest Limit: 10.00
Sort Forms By: Alpha

Create New Form if Address Changed
 Print 'C' by Closed Accounts
 Print IRS Owner's Name Only

Run 1099INT Customer Information Report
 Run 1099INT Social Security Report
 Run 1099INT Social Security Address Report
 Run 1099INT Alpha Report
Sort By Institution (selected)
Sort By Office
 Run 1099INT Numeric List
 Run 1099INT Exception Report
Last Changed: 03/15/2017 By: 1742

Shipping

Mailing Service: FPSGOLD Mailing Service
Attention: ANNETTE CASSITY
 You have a contract for FPS-GOLD Mailing Service
 Printing forms in-house

Print Print Preview Save Changes

Deposits > Miscellaneous > Year-end Run Options Screen

Using this screen you can establish what IRS forms are printed by FPS GOLD and sent to your customers using our mailing service. You can also request certain year-end reports be run. Reports are no longer printed by FPS GOLD. You can use GOLDView to print any reports you want to.

At the top of this screen is the person at your institution who is responsible for handling your institution's deposit year-end. Enter the name, email, and phone number in the **Contact Name**, **Contact Email**, and **Contact Phone** fields. FPS GOLD processes at night and on weekends. If we have a question during these hours, we must be able to contact someone who is authorized to make a decision, or the processing of your year-end information may be delayed until normal business hours. We won't contact anyone in the middle of the night, but we will attempt to contact you in the early evening, Saturday, or Sunday.

The following sections explain how to use this screen to submit your request.

- [1099 Processing tab](#)
- [Retirement tab](#)
- [Shipping field group](#)

1099 Processing tab

The 1099 Processing tab on the [Deposit Year-End Run Options screen](#) allows you to select the number of 1099-INT statements you want to audit in the test run, the test run date of those statements, the type of [1099-INT reports](#) you want run, and other options affecting your year-end processing.

Combined 1099 Interest Statement field group

The Combined 1099 Interest Statement field group contains information specific to 1099-INT statements (forms).

Your bank's return address and contact phone number must be set up on the rendered form. If it is incorrect, send a work order to get it fixed before the year-end final run. It is an image on the rendered statement.

Account owners with more than one account at your institution that earned interest will be combined by Social Security number and then sorted according to how the **Sort Forms By** field is selected (which is either by Social Security number, alphabetically by name, or by ZIP code). Names with CID numbers will print on separate forms.

Combined 1099s can also include interest earned on *contract collection* accounts and interest earned on *loan reserves*. There are institution options available to turn these off. Consult your loan year-end specialist if you want loan interest included on 1099-INT statements. These options are set up using the Loans > System Setup > Year-End screen.

The **2nd TIN Not.** field will be indicated on the 1099-INT statement if you have sent out two B notices within three years of each other and they have not been resolved. See the IRS B Notices field group on the CIS > Entity Maintenance - Person, Trust, or Organization screens for more information.

For both the test and final year-end runs, FPS GOLD stores all reports and forms in GOLDView. Your institution-defined number of days to keep those reports and forms may be different from FPS GOLD's default settings. Test-run forms will be stored for 90 days. Final year-end forms will be stored for 180 days.

See the following definitions for more information on each of the 1099-INT options:

Field	Description
Test Statements to Print (DYFNBR)	<p>Enter the number of statements (forms) you want processed during the test run of the year-end processing. In November (according to the Action Dates), FPS GOLD will process this amount of test statements and put them in your warehouse for audit. The system uses the Sort Forms By field to determine the order of the statements. For example, if the Sort Forms By field is set to SSN, and this field was set to 50, then the first 50 Social Security numbers of account owners would be processed in numerical order for the test run.</p> <div data-bbox="699 1671 1214 1904" style="border: 1px solid black; padding: 10px; margin: 10px auto; width: fit-content;"> <p style="text-align: center;">NOTE</p> <p>Accounts set to Do Not Mail are sorted to the top, so you may want to make sure that your test run is large enough to</p> </div>

Field	Description
	<div data-bbox="699 262 1213 365" style="border: 1px solid black; padding: 5px;"> include accounts <i>without</i> the Do Not Mail indicator (see test example below). </div>
Test Run Date (DYTSTD)	<p>Enter the date you want FPS GOLD to process a test run of your 1099-INT statements. The statements created from this test will be put into your warehouse for audit. Only the number of statements indicated in the Test Statements to Print field (see above) will be processed during the test run. If any part of the test statements appear to be incorrect, or you have selected the wrong setup options, contact your FPS GOLD Deposit Banking Consultant. Failure to contact FPS GOLD will result in your final run at year-end being incorrect, and you will be charged for any reruns.</p> <div data-bbox="699 680 1213 915" style="border: 1px solid black; padding: 10px; text-align: center;"> <p>NOTE</p> <p>This date should be after November 4 and no later than November 15. See Action Dates for Year-End Processing for more information.</p> </div> <p>Test statements will have the word "TEST" across the front of each statement, as shown below:</p>

**** DO NOT MAIL ***

Fannie Mae Fiscal
1234 Penny Lane

4

Account Information For:
Taxpayer ID: XXX-XX-72
Copy B for Recipient

Page

Form 1099-INT
Interest Income for 2010

TEST

Account Number	Box 1 Interest	Box 2 Early Withdrawal Penalty	Box 4 Federal Income Tax Withheld
01 507079 00	363.82	0.00	0.00
01 507509 09	599.47	0.00	0.00
01 507510 01	599.47	0.00	0.00
01 508479 06	779.74	0.00	0.00
01 51 0650 02	356.42	0.00	0.00
01 51 0778 03	363.82	0.00	0.00
01 51 0779 01	363.82	0.00	0.00
01 51 7628 07	356.42	0.00	0.00
01 527499 05	5,279.87	0.00	0.00
01 527500 07	5,279.87	0.00	0.00
01 527501 05	5,279.87	0.00	0.00
01 527502 03	986.08	0.00	0.00
Total	20,608.67	0.00	0.00

123 FPS GOLD BANK
OMB No. 1234-0112

Federal ID Number

This is important tax information and is b
required to file a ret

Field	Description
Last Test Run Date	This field displays the last test run date of 1099-INT processing for your institution.
Interest Limit (DYTNIL)	Enter the minimum amount of interest earned by accounts for which you want to print a 1099-INT statement for. IRS regulations require that all deposit accounts earning \$10.00 of interest or more must have a 1099-INT statement sent to the account owner at year-end processing. However, your institution may choose to send 1099-INT statements for all deposit accounts earning any amount of interest. In this case, you would enter "0" in this field or leave it blank.
Sort Forms By (DYSB01)	Use the drop-down list to select the method you want to use to sort statements. You can sort statements by Social Security number, alphabetically by the last name of the IRS owner, or by ZIP code of the address of the IRS owner.

Field	Description
Create New Form If Address Changed	Check this box to print separate 1099-INT statements if IRS owners' addresses are not exactly the same. If this box is checked, all accounts with the same Social Security number are combined and for every different address, a separate 1099 is created for those owners.
Print 'C' by Closed Accounts	Check this box if you want a "C" to print to the right of the account number on the 1099-INT statement. The "C" indicates that the account is closed.
Print IRS Owner's Name Only	Check this box if you only want the name of the IRS owner to print on the 1099-INT statement. When combining by Social Security number, we may include husbands, wives, and children's accounts, where different co-owners are listed. If this box is checked, only the IRS owner's name and Social Security number will be shown. If this box is not checked, then the lowest account number in the accounts combined will print both owner and co-owner names.

Field	Description																																				
Print CD Balance and Maturity	<p>Select this option if you want to include the Year-End Balance and Maturity Date fields on 1099-INT statements. The Year-End Balance field displays the balance of the account at year-end. The Maturity Date is the date of maturity for any CDs, if applicable. Year-End balance and maturity date are not furnished to the IRS. You can't select both Print CD Balance and Maturity and Print State Withholding on Form because there is not enough room on the form to print both. Select None if you don't want either of these options.</p> <p>The following example shows these fields on the 1099-INT statement:</p> <table border="1" data-bbox="495 1192 1386 1453"> <thead> <tr> <th colspan="4">Form 1099-INT Interest Income for 2015</th> <th colspan="2">Page 1 of 1</th> </tr> <tr> <th>Account Number</th> <th>Box 1 Interest Income</th> <th>Box 2 Early Withdrawal Penalty</th> <th>Box 4 Federal Income Tax Withheld</th> <th>Year-End Balance</th> <th>Maturity Date</th> </tr> </thead> <tbody> <tr> <td>11 201141 08</td> <td>4.25</td> <td>0.00</td> <td>0.00</td> <td>3,430.75</td> <td></td> </tr> <tr> <td>01 205524 04</td> <td>21.81</td> <td>0.00</td> <td>0.00</td> <td>10,842.24</td> <td></td> </tr> <tr> <td>01 530387 02</td> <td>1,908.67</td> <td>0.00</td> <td>0.00</td> <td>249,017.10</td> <td>12-29-12</td> </tr> <tr> <td>Total</td> <td>1,934.73</td> <td>0.00</td> <td>0.00</td> <td>263,290.09</td> <td></td> </tr> </tbody> </table>	Form 1099-INT Interest Income for 2015				Page 1 of 1		Account Number	Box 1 Interest Income	Box 2 Early Withdrawal Penalty	Box 4 Federal Income Tax Withheld	Year-End Balance	Maturity Date	11 201141 08	4.25	0.00	0.00	3,430.75		01 205524 04	21.81	0.00	0.00	10,842.24		01 530387 02	1,908.67	0.00	0.00	249,017.10	12-29-12	Total	1,934.73	0.00	0.00	263,290.09	
Form 1099-INT Interest Income for 2015				Page 1 of 1																																	
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Print State Withholding on Form	<p>Select this option if your institution does state withholding on any interest posted to any accounts. You can't select both Print CD Balance and Maturity and Print State Withholding on Form because there is not enough room on the form for both. Select None if you don't want either of these options.</p> <p>The following example shows this field on the 1099-INT statement:</p>																																				

Field	Description																									
	Page 1 of 1																									
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11 201141 08	4.25	0.00	0.00	10.00																						
01 205524 04	21.81	0.00	0.00	20.00																						
01 530387 02	1,908.67	0.00	0.00	0.00																						
Total	1,934.73	0.00	0.00	30.00																						

The following is an example of a final 1099-INT statement:

FPS GOLD BANK
1525 W. 820 N.
Provo, UT 84606
(801) 555-1212

***** DO NOT MAIL ***
Fannie Mae Fiscal
1234 Penny Lane
Provo, UT 84601

Account Information For:
Taxpayer ID, XXX-XX-7210
Copy B for Recipient

Page 1 of 1

Form 1099-INT
Interest Income for 2012

Account Number	Box 1 Interest Income	Box 2 Early Withdrawal Penalty	Box 4 Federal Income Tax Withheld	Year-End Balance	Maturity Date
01 507079 00	363.82	0.00	0.00	1,234.52	
01 507509 09	599.47	0.00	0.00	546.32	02-03-12
01 507510 01	599.47	0.00	0.00	2,703.13	
01 508479 06	779.74	0.00	0.00	1,350.10	07-02-12
01 510650 02	356.42	0.00	0.00	125.23	
01 510778 03_c	363.82	0.00	0.00	0.00	
Total	3,062.74	0.00	0.00	5959.30	

123 FPS GOLD BANK Federal ID Number 12-3456789
OMB No. 1234-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

C=Closed

1099-INT (Front of Statement)

Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer may report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Recipient's identification number. For your protection, this form may show only the last four digits of your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN). However, the issuer has reported your complete identification number to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions to Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Box 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in box 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2015 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912, Credit to Holders of Tax Credit Bonds. See the instructions above for a taxable covered security acquired at a premium.

Box 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the instructions for Form 1040 to see where to take the deduction.

Box 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in box 1. See the instructions above for a taxable covered security acquired at a premium.

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number (TIN) or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Box 5. Any amount shown is your share of investment expenses of a single-class REMIC. If you file Form 1040, you may deduct these expenses on the "Other expenses" line of Schedule A (Form 1040) subject to the 2% limit. This amount is included in box 1.

Box 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040. See your Form 1040 instructions.

Box 7. Shows the country or U.S. possession to which the foreign tax was paid.

Box 8. Shows tax-exempt interest paid to you during the calendar year by the payer. Report this amount on line 8b of Form 1040 or Form 1040A. This amount may be subject to backup withholding. See box 4. See the instructions above for a tax-exempt covered security acquired at a premium.

Box 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in box 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Box 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election, shows the market discount that accrued on the debt instrument during the year while held by you. Report this amount on your income tax return as directed in the instructions for Form 1040 or 1040A. Market discount on a tax-exempt security is includable in taxable income as interest income.

Box 11. For a taxable covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the instructions for Form 1040 (Schedule B). If an amount is not reported in this box for a taxable covered security acquired at a premium, the payer has reported a net amount of interest in box 1 or 3, whichever is applicable. If the amount in this box is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Box 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is not reported in this box for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in box 8 or 9, whichever is applicable. If the amount in this box is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Box 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

Boxes 15–17. State tax withheld reporting boxes.

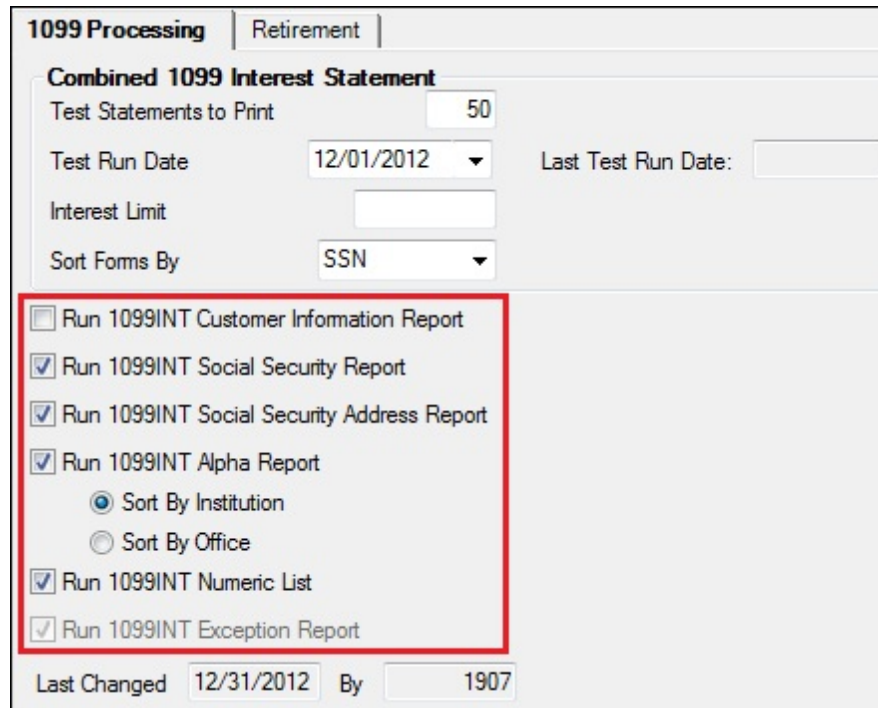
Nominees. If this form includes amounts belonging to another person(s), you are considered a nominee recipient. Complete a Form 1099-INT for each of the other owners showing the income allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner(s) as the "recipient." File Form(s) 1099-INT with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096 list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse.

Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/form1099int.

1099-INT (Back of Statement)

1099-INT Reports

Below the Combined 1099 Interest Statement field group on the 1099 Processing tab of the [Year-End Run Options screen](#) is a list of reports. You can check the box in front of each of them to indicate you want to run that report at year-end, as shown below:



1099 Processing | Retirement |

Combined 1099 Interest Statement

Test Statements to Print: 50

Test Run Date: 12/01/2012 Last Test Run Date:

Interest Limit:

Sort Forms By: SSN

Run 1099INT Customer Information Report

Run 1099INT Social Security Report

Run 1099INT Social Security Address Report

Run 1099INT Alpha Report

Sort By Institution

Sort By Office

Run 1099INT Numeric List

Run 1099INT Exception Report

Last Changed: 12/31/2012 By: 1907

Deposits > Miscellaneous > Year-End Run Options Screen, 1099 Processing Tab

The reports are available for you to view, print, and save from GOLDView in CIM GOLD once you indicate you want the report.

Each report is documented fully in the Deposit Reports manual on DocsOnWeb.

See the following links for more information concerning each of these reports:

- [1099INT Customer Information Report](#)
- [1099INT Social Security Report](#)
- [1099INT Social Security Address Report](#)
- [1099INT Alpha Report By Office or Institution](#)
- [1099INT Numeric List \(Account Number Order\)](#)
- [1099INT Exception Report](#)

For a quick definition of all the reports available from the Year-End Run Options screen, see [Reports](#).

Retirement tab

The Retirement tab on the [Deposit Year-End Run Options screen](#) allows you to select whether you want FPS GOLD to print and send you all year-end retirement forms or whether your institution uses a third-party source to handle retirement account year-end forms.

The following is an example of the Retirement tab:

The screenshot shows the 'Retirement' tab within the '1099 Processing' window. At the top, there are two radio buttons: 'Forms Handled by FPSGOLD' (selected) and 'Forms Handled by Outside Source'. Below this, there are two sections for form types: '1099R / 1099Q / 1099SA Forms' and '5498 / 5498SA Forms'. Each section has radio buttons for 'Sort By Alpha' (selected) and 'Sort By SSN', and a 'Test Forms to Print' field set to '50'. There are three checked checkboxes: 'Run 1099R Summary Report', 'Run 1099R/1099Q/1099SA Detail Report', and 'Run 5498/5498SA Detail Report'. A 'Test Run Date' dropdown is set to '11/15/2013', and a 'Last Test Run Date' field is empty. A checked checkbox is labeled 'Include Beginning of Year Balance if Account Converted This Year'. At the bottom, 'Last Changed' is '12/31/2012' and 'By' is '1907'.

Deposits > Miscellaneous > Year-end Run Options Screen > Retirement Tab

At the top of this tab are two radio buttons called **Forms Handled by FPS GOLD** and **Forms Handled by Outside Sources**. Select one of these buttons to indicate how your institution handles year-end retirement forms (1099R, 1099Q, 1099SA, 5498, and 5498SA). You have three options for handling them:

1. Have FPS GOLD process the year-end forms, send the information to the IRS, send the forms to you during year-end processing.
2. You print them from GOLDView and send them to your account owners.
3. Use an outside source to compile the year-end retirement data and generate the forms.

Your bank return address comes from Institution Options, Name, ADDR, and state. The phone number comes from Institution Number in CIS.

If you select **Forms Handled by FPS GOLD**, then you must also enter information about how you want the forms sorted and how many test forms you want printed for each type of retirement account. See the following definitions for more information.

Field	Description
1099R/1099Q/1099SA Forms	Enter the number of statements (forms) you want processed and put into your warehouse for audit during the test run of the year-end processing for

Field	Description
(FPDYFNBR)	<p>these retirement forms in the Test Forms to Print field. In November (according to the Action Dates), you will audit the forms in GOLDView. The system uses the Sort By Alpha and Sort By SSN fields to determine the order of reports for both the test and final runs. Forms are sorted by SSN. In the Test Forms to Print field, enter the number of test forms you want to review.</p> <div data-bbox="699 485 1214 751" style="border: 1px solid black; padding: 10px; margin: 10px auto; width: fit-content;"> <p style="text-align: center;">NOTE</p> <p>Accounts set to Do Not Mail are included first, so you may want to make sure that your test run is large enough to include accounts <i>without</i> the Do Not Mail indicator.</p> </div> <p>For more information and an example concerning the specific type of form, see Forms.</p>
<p>5498/5498SA Forms (FPDYFNBR)</p>	<p>Enter the number of statements (forms) you want processed and put into your warehouse for audit during the test run of the year-end processing for the 5498 and 5498SA forms in the Test Forms to Print field. In November (according to the Action Dates), you will audit the forms in GOLDView. The system uses the Sort By Alpha and Sort By SSN fields to determine the order of reports for both the test and final runs. Forms are sorted by SSN. In the Test Forms to Print field, enter the number of test forms you want to review.</p> <div data-bbox="699 1157 1214 1549" style="border: 1px solid black; padding: 10px; margin: 10px auto; width: fit-content;"> <p style="text-align: center;">NOTE</p> <p>Accounts set to Do Not Mail are included first, so you may want to make sure that your test run is large enough to include accounts <i>without</i> the Do Not Mail indicator.</p> <p>Also, 5498SA forms are not required at year-end, but FPS GOLD does process them for everyone.</p> </div> <p>For more information and an example concerning the specific type of form, see Forms.</p>
<p>Run 1099R Summary Report</p>	<p>Check this box if you want to generate a 1099R Summary Report (FPSDR152-2) in GOLDView in CIM GOLD. The 1099R Summary Report shows how the information on retirement accounts, their owners, and distributions made from those retirement accounts was sent to the IRS. This report can be sorted by short name or by Social Security Number (SSN). It lists one account per distribution code in summary form, printing</p>

Field	Description
	<p>the IRS owner's short name and Social Security Number. This report matches the 1099R forms..</p> <p>For detailed information concerning this report, see FPSDR152-2 in the Deposit Reports manual in DocsOnWeb. Also see the Reports section of this help for summary information concerning this report.</p>
<p>Run 1099R/1099Q/1099SA Detail Report</p>	<p>Check this box if you want to generate a 1099R, 1099Q, or 1099-SA Detail Report (FPSDR152-1, FPSDR154, and FPSDR103) in GOLDView in CIM GOLD. These reports are run in November every year as a test. On December 31st of every year these reports are run. These reports show the relationship between retirement accounts, their owners, and distributions made from those retirement accounts. These reports can be sorted by short name or by Tax Identification number (TIN). They will list every account by social security number and each distribution code.</p> <p>For detailed information concerning these reports, see FPSDR152-1, FPSDR154, and FPSDR103 in the Deposit Reports manual in DocsOnWeb. Also see the Reports section of this help for summary information concerning this report.</p>
<p>Run 5498/5498SA Detail Report</p>	<p>Check this box if you want to generate the 5498 or 5498-SA report (FPSDR174 and FPSDR104) in GOLDView. The Retirement 5498 and 5498-SA Reports shows all retirement accounts that require 5498 or 5498-SA forms. Every account holder that has a balance or contribution for the year must receive a 5498 or 5498-SA form by January 31. If the account holder has more than one account, only one account number will be printed. This account number must be used for any corrections after year-end.</p> <p>For detailed information concerning these reports, see FPSDR174 and FPSDR104 in the Deposit Reports manual in DocsOnWeb. Also see the Reports section of this help for summary information concerning these reports.</p>
<p>Test Run Date</p>	<p>Enter the date you want FPS GOLD to process a test run of your retirement forms. The forms created from this test will be sent to you for verification of their accuracy. Only the number of forms indicated in the Test Forms to Print field (see above) will be processed during the test run. If any part of the test forms appear to be incorrect, or you have selected the wrong setup options, contact your FPS GOLD deposit banking consultant and tell him or her about the errors. Failure to contact FPS GOLD will result in your final run at year-end being incorrect, and you will be charged for any necessary reruns.</p> <div data-bbox="699 1675 1214 1877" style="border: 1px solid black; padding: 10px; margin: 10px auto; width: fit-content;"> <p style="text-align: center;">NOTE</p> <p>This date should be no later than the first of November. See Action Dates for Year-End Processing for more information.</p> </div>

Field	Description
	Test forms will have the word "TEST" across the front of each form.
Include Beginning of Year Balance if Account Converted This Year	<p>Check the checkbox for this field if you want to include the beginning of year balance on the combined retirement statement for accounts that converted this year.</p> <div style="border: 1px solid black; padding: 10px; margin: 10px auto; width: fit-content;"> <p style="text-align: center; color: red; margin: 0;">WARNING</p> <p style="margin: 0;">If the beginning of year balance field was not converted from your previous data center, then it is not possible to include it on the statement. To keep the transaction detail from looking out of balance, this option must not be set.</p> </div>
Last Changed ... By	These fields display the date and employee number of the person who last made changes to this screen.

Shipping field group

The fields in the Shipping field group on the [Year-End Run Options screen](#) allow you to indicate how you want year-end forms sent to your institution. If you use an outside source to process year-end documents or you print the forms yourselves from GOLDView and send them to your account owners, you can ignore these fields.

1. In the **Mailing Service** field, select how you want the forms sent to you:
 - Select FedEx, UPS, or FPS GOLD's mailing service.
 - FPS GOLD offers a mailing service for the distribution of statements and forms to your account holders. If you would like FPS GOLD to mail your year-end forms, select "FPS GOLD Mailing Service" from the **Mailing Service** drop-down list. If you currently have a contract with FPS GOLD for mailing services, also check the box. If you choose to have FPS GOLD mail your year-end forms and do not have a contract, one will be provided to ensure timely delivery of your forms.
2. In the **Attention** field, enter the person at your institution responsible for year-end forms.

or

Check **Print Forms In-house** if you are going to print your own forms from the warehouse. If this field is checked, all other shipping fields are disabled.

Reports

Using the [Year-End Run Options screen](#), you can signify which year-end reports you want run for your institution. These reports are available to view, print, and save in GOLDView in CIM GOLD. Each of these reports must be checkmarked on the Year-End Run Options screen. Detailed information for each report is found in the Deposit Reports manual in CIM GOLD.

The reports are divided by section:

- [1099INT Reports](#)
- [Retirement Reports](#)
- [Balancing Report](#)

1099INT Reports

Check the boxes in front of each report on the Year-End Run Options screen, [1099 Processing tab](#) for the report you want to run in GOLDView. The following paragraphs briefly describe each of the 1099INT reports.

- [1099INT Customer Information Report](#)
- [1099INT Social Security Report](#)
- [1099INT Social Security Address Report](#)
- [1099INT Alpha Report By Office or Institution](#)
- [1099INT Numeric List \(Account Number Order\)](#)
- [1099INT Exception Report](#)

1099INT Customer Information Report

The 1099INT Customer Information Report is printed in account number order. This report also includes a balancing report that you will need to balance to your transmittal. This is the only report that breaks out Contract Collections and Reserve Interest.

FPS GOLD does not print a paper copy. All reports requested will be placed in GOLDView.

See the following example of the 1099INT Customer Information Report.

ACCOUNT NUMBER	SOC SEC NBR	SHORT NAME	REC ACT TYP TYP	YTD INTEREST	YTD PENALTY	YTD WITHHOLD	CURRENT BALANCE	MATURITY DATE	CLS
11 000000 08	123-45-6789	ADAMS C	DEP INT	124.40	0.00	0.00	7,769.01		
11 000001 06	123-45-6789	BLET SH	DEP INT	37.79	0.00	0.00	1,278.87		
11 000005 07	123-45-6789	NORRIS QQ	DEP INT	464.93	0.00	0.00	8,561.34		
11 000007 03	123-45-6789	KARRAS CW	DEP INT	441.26	0.00	0.00	10,304.35		
11 000008 01	123-45-6789	KARRAS EN	DEP INT	0.00	0.00	0.00	0.00		CLS
11 000011 05	123-45-6789	NORRIS QQ	DEP INT	16.22	0.00	0.00	365.25		
11 000012 03	123-45-6789	SIMMONS VE	DEP INT	9.53	0.00	0.00	117.87		
11 000013 01	123-45-6789	BLETCHERS D	DEP INT	124.55	0.00	0.00	174.89		
11 000030 05	123-45-6789	ASHLEY QQ	DEP INT	122.85	0.00	0.00	5,073.13		
11 000037 00	123-45-6789	BOLT ED	DEP INT	69.87	0.00	0.00	2,682.00		
11 000059 04	123-45-6789	CASTINGSO JL	DEP INT	38.28	0.00	0.00	2,120.47		
11 000063 06	123-45-6789	CALL AA	DEP INT	240.04	0.00	0.00	8,528.10		
11 000064 04	123-45-6789	BUNDT D	DEP INT	231.22	0.00	0.00	8,432.45		
11 000066 09	123-45-6789	WARREN QQ	DEP INT	8.13	0.00	0.00	274.94		
11 000091 07	12-3456789	PROFIT SHARING	DEP INT	101.88	0.00	0.00	3,447.13		
11 000114 07	123-45-6789	BUSH CW	DEP INT	206.99	0.00	0.00	3,332.88		
11 000115 04	123-45-6789	BUSH CW	DEP INT	0.00	0.00	0.00	11.07		

** INSTITUTION TOTALS

	INTEREST (INT) ACCTS	RETIREMENT (RET) ACCTS	TOTAL OF ALL ACCOUNTS
TOTAL NUMBER OF ACCOUNTS	44998	666	26629
YEAR TO DATE INTEREST TOTAL	6,769,727.69	260,017.11	6,059,744.80
YEAR TO DATE PENALTY TOTAL	6,232.58	16,454.03	16,686.61
YEAR TO DATE WITHHOLDING TOTAL	6,807.00	0.00	6,807.00
COMBINED ACCOUNT BALANCES	126,034,708.42	6,246,131.96	162,280,840.38
TOTAL NUMBER OF CLOSED ACCOUNTS	6680	66	6454
NEGATIVE INTEREST SET TO ZERO			687.22-

For more information concerning this report, see [1099INT Customer Information Report](#) in DocsOnWeb.

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1099 Numeric Listing (Account Number Order)

The Numeric Listing is printed in account number order and contains the same information as the Alpha Listing.

FPS GOLD does not print a paper copy. All reports requested will be placed in CIM GOLDView.

See the following example of a 1099 Numeric Listing Report (account number order).

ACCOUNT NUMBER	SOC SEC NBR	SHORT NAME	REC ACT TYP TYP	YTD INTEREST	YTD PENALTY	YTD WITHHOLD	CURRENT BALANCE	MATURITY DATE	CLS
11 000000 00	999-88-7777	BARRY D	DEP INT	124.40	0.00	0.00	7,769.01		
11 000001 01	999-77-6666	BUCK UN	DEP INT	37.79	0.00	0.00	1,278.87		
11 000002 02	999-66-5555	NORRIS MS	DEP INT	464.93	0.00	0.00	8,561.34		
11 000003 03	999-55-4444	KUNTZ D	DEP INT	441.26	0.00	0.00	10,304.35		
11 000004 04	999-44-3333	CARRIES FS	DEP INT	0.00	0.00	0.00	0.00		CLS
11 000005 05	999-33-2222	JACOBS MS	DEP INT	16.22	0.00	0.00	365.25		
11 000006 06	999-22-1111	SIMIONS SS	DEP INT	9.53	0.00	0.00	117.87		
11 000007 07	999-11-0000	FLETCHER F	DEP INT	124.55	0.00	0.00	174.89		
11 000008 08	999-00-9999	ASHTON QQ	DEP INT	122.85	0.00	0.00	5,073.13		
11 000009 09	999-99-8888	BLUE ED	DEP INT	69.87	0.00	0.00	2,682.00		
11 000010 10	999-88-7766	CHAMBERLAIN	DEP INT	38.28	0.00	0.00	2,120.47		

** INSTITUTION TOTALS

	INTEREST (INT) ACCTS	RETIREMENT (RET) ACCTS	TOTAL OF ALL ACCOUNTS
TOTAL NUMBER OF ACCOUNTS	44998	666	26629
YEAR TO DATE INTEREST TOTAL	6,769,727.69	260,017.11	6,059,744.80
YEAR TO DATE PENALTY TOTAL	6,232.58	16,454.03	16,686.61
YEAR TO DATE WITHHOLDING TOTAL	6,807.00	0.00	6,807.00
COMBINED ACCOUNT BALANCES	126,034,708.42	6,246,131.96	162,280,840.38
TOTAL NUMBER OF CLOSED ACCOUNTS	6680	66	6454
NEGATIVE INTEREST SET TO ZERO			687.22-

For more information concerning this report, see [1099INT Numeric List \(Account Number Order\)](#) in DocsOnWeb.

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1099INT Alpha Listing by Office or Institution

The 1099INT Alpha Listing report may be sorted either by short name within office or by short name within institution. Please indicate your preference on the Year-End Run Options screen.

FPS GOLD does not print a paper copy. All reports requested will be placed in CIM GOLDView.

See the following example of a 1099 Alpha Listing Report by office.

12-31-2012		FPS GOLD BANK		1099I ALPHA LISTING BY OFFICE				3 FPSDR150 8006 PAGE		1
ACCOUNT NUMBER	SOC SEC NBR	SHORT NAME	REC ACT TYP TYP	YTD INTEREST	YTD PENALTY	YTD WITHHOLD	CURRENT BALANCE	MATURITY DATE	CLS	
02 123456 06	99-1234567	A & C INC.	DEP INT	47.56	0.00	0.00	1,440.44			
02 123456 08	99-1234567	A&G BUILDING	DEP INT	5.98	0.00	0.00	0.00		CLS	
02 123456 02	99-1234567	AAA ALPHABET	DEP INT	0.00	0.00	0.00	41,005.95			
02 123456 01	999-12-3456	ABARB LK	DEP INT	211.64	0.00	0.00	5,491.68			
02 123456 08	999-12-3456	ABARB LK	DEP INT	111.71	0.00	0.00	344.30			
02 123456 07	999-12-3456	ABARB LK	DEP INT	27.65	0.00	0.00	1,377.12			
02 123456 07	99-1234567	ACTION PERF	DEP INT	398.59	0.00	0.00	18,811.18			
02 123456 04	99-1234567	ACTION PERF	DEP INT	0.00	0.00	0.00	6,032.87			
02 123456 02	99-1234567	ACTION PERF	DEP INT	0.00	0.00	0.00	187,474.77			
02 123456 00	99-1234567	ACTION PERF	DEP INT	0.00	0.00	0.00	2,579.95			
02 123456 06	99-1234567	ACTION PERF	DEP INT	0.00	0.00	0.00	129,581.94			
02 123456 07	99-1234567	ADD PADDING	DEP INT	2,755.50	0.00	0.00	7,881.34-			
02 123456 05	999-12-3456	ADAMS QH	DEP INT	5.13	0.00	0.00	173.36			
02 123456 03	999-12-3456	ADAMS QJ	DEP RET	12.92	0.00	0.00	357.92	10-15-98		
02 123456 02	999-12-3456	ADAMS QX	DEP INT	2.70	0.00	0.00	91.30			
02 123456 08	999-12-3456	ADAMS QY	DEP INT	0.00	0.00	0.00	13.37			
02 123456 01	999-12-3456	ADAMS QZ	DEP INT	205.55	0.00	0.00	10,119.78			
02 123456 03	999-12-3456	ADAMSON AT	DEP INT	2,770.83	0.00	0.00	57,032.51	7-27-98		
02 123456 06	99-1234567	ADAMSON ENTER	DEP INT	1,680.96	0.00	0.00	0.00	2-02-98	CLS	
02 123456 07	999-12-3456	ADAMSON, QM	DEP INT	1,033.54	0.00	0.00	24,842.56	2-04-98		
02 123456 02	999-12-3456	ADAMSON, QO	DEP INT	1,780.85	0.00	0.00	41,837.25	3-21-98		
02 123456 08	99-1234567	ADVENTURELAND	DEP INT	0.00	0.00	0.00	4,858.78			

** INSTITUTION TOTALS			
	INTEREST (INT) ACCTS	RETIREMENT (RET) ACCTS	TOTAL OF ALL ACCOUNTS
TOTAL NUMBER OF ACCOUNTS	44998	556	25529
YEAR TO DATE INTEREST TOTAL	5,759,727.69	260,017.11	5,059,744.80
YEAR TO DATE PENALTY TOTAL	6,232.58	16,454.03	15,686.61
YEAR TO DATE WITHHOLDING TOTAL	5,807.00	0.00	5,807.00
COMBINED ACCOUNT BALANCES	126,034,708.42	6,246,131.96	162,280,840.38
TOTAL NUMBER OF CLOSED ACCOUNTS	6680	66	6454
NEGATIVE INTEREST SET TO ZERO			687.22-

For more information concerning this report, see [1099INT Alpha Report By Office or Institution](#) in DocsOnWeb.

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1099INT Social Security Report

The 1099INT Social Security report is printed in Social Security number order. There are two different types for the Social Security List. One report will display the account dollar figures, and the second report displays the address information.

FPS GOLD does not print a paper copy. All reports requested will be placed in CIM GOLDView.

See the following example of a Social Security Report showing the dollar amounts. (See also [1099INT Social Security Address Report](#) below.)

12-31-2012		FPS GOLD BANK		1099I SOCIAL SECURITY REPORT				1 FPSDR150 8004 PAGE		8
ACCOUNT NUMBER	SOC SEC NBR	SHORT NAME	REC ACT TYP TYP	YTD INTEREST	YTD PENALTY	YTD WITHHOLD	CURRENT BALANCE	MATURITY DATE	CLS	
01	123456 03	111-22-3333	SMITH Q	DEP INT	0.62	0.00	0.00	299.11-		
03	123456 04	111-33-2222	SMITH Q	DEP INT	0.00	0.00	0.00	24.40		
02	123456 04	222-33-4444	DOHERTY Q	DEP INT	0.00	0.00	0.00	0.00	CLS	
04	123456 05	222-44-3333	OLIVER QQ	DEP INT	438.91	0.00	0.00	15,710.35		
05	123456 05	333-44-5555	MACDONALD	DEP INT	52.52	0.00	0.00	5,475.06		
06	123456 02	333-55-4444	NICHOLS Q	DEP INT	8.29	0.00	0.00	758.01		
07	123456 01	444-55-6666	NICHOLS Z	DEP INT	8.82	0.00	0.00	232.04		
08	123456 04	444-66-5555	NICHOLS T	DEP INT	12.44	0.00	0.00	108.42		
19	123456 04	555-66-7777	MARYBOY Q	DEP INT	0.00	0.00	0.00	1,263.92		
01	123456 03	555-77-6666	TALBOTT T	DEP INT	6.96	0.00	0.00	53.28		
03	123456 05	666-77-8888	TALBOTT J	DEP INT	7.94	0.00	0.00	82.54		
02	123456 07	666-88-7777	PASTORELLA	DEP INT	2,608.76	0.00	0.00	86,920.03		
03	123456 05	777-88-9999	PASTORELLA	DEP INT	89.08	0.00	0.00	6,943.42		
04	123456 02	777-99-8888	TIMM QQ	DEP INT	31.56	0.00	0.00	0.00		
04	123456 06	888-99-0000	FURMAN Q	DEP INT	8.06	0.00	0.00	168.41		
05	123456 07	888-00-9999	GAMAPOL QQ	DEP INT	0.00	0.00	0.00	170.41-		
06	123456 05	999-00-1111	CRAGUM QQ	DEP INT	0.00	0.00	0.00	1,496.59		
07	123456 08	999-11-0000	KELLOGG R	DEP INT	1.64	0.00	0.00	55.38		
08	123456 05	000-11-2222	FRANCIS F	DEP INT	0.00	0.00	0.00	1.70		
09	123456 05	000-22-1111	FRANCIS S	DEP INT	0.00	0.00	0.00	0.00	CLS	
09	123456 06	123-45-6789	JENSE U	DEP INT	0.00	0.00	0.00	0.00	CLS	

** INSTITUTION TOTALS			
	INTEREST (INT) ACCTS	RETIREMENT (RET) ACCTS	TOTAL OF ALL ACCOUNTS
	-----	-----	-----
TOTAL NUMBER OF ACCOUNTS	44998	666	25629
YEAR TO DATE INTEREST TOTAL	6,769,727.69	260,017.11	6,059,744.80
YEAR TO DATE PENALTY TOTAL	6,232.58	16,454.03	16,686.61
YEAR TO DATE WITHHOLDING TOTAL	6,807.00	0.00	6,807.00
COMBINED ACCOUNT BALANCES	126,034,708.42	6,246,131.96	162,280,840.38
TOTAL NUMBER OF CLOSED ACCOUNTS	6680	66	6454
NEGATIVE INTEREST SET TO ZERO			687.22-

For more information concerning this report, see [1099INT Social Security Report](#) in DocsOnWeb.

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1099INT Social Security Report Address

The 1099INT Social Security Address Report shows tax identification numbers (TINs) and the associated account(s) and name and address information. Invalid customer numbers (CIDs) will be sent to the IRS with blank TINs. The report is sorted by tax identification number, then by account number within each tax identification number.

Detailed information on interest, withholding, and penalty amounts for retirement accounts will print on this report. However, retirement accounts will not print a 1099INT form or go to the IRS for reporting.

FPS GOLD does not print a paper copy. All reports requested will be placed in CIM GOLDView.

See the following example of a Social Security Address Report. (See also [1099INT Social Security Report](#) above.)

ACCOUNT NUMBER	SOC SEC NBR	NAME AND ADDRESS INFORMATION	CITY,	STATE	Z IP
01 123456 03	029-30-1234	JENN M SCHMIERER 1938 N 206 W0	TORREY	UT	84775
02 123456 05	029-32-1234	BRIAN J KINGSLEY 1824 WHITE OAK RD	RALEIGH	NC	27608
03 123456 01	029-48-1234	BRENT R LESTER DUSTIN SMITH P 0 BOX 750	TORREY	UT	84775
04 123456 07	029-48-1234	BRENT R LESTER TRAVIS L SMITH P 0 BOX 750	TORREY	UT	84775
05 123456 09	029-48-1234	BRENT R LESTER P 0 BOX 750133	TORREY	UT	84775
06 123456 03	029-64-1234	MIKE J BEUKEMA P 0 BOX 501	LOA	UT	84747
07 123456 00	030-14-1234	HARRY N STALEY P 0 BOX 184	MT PLEASANT	UT	84647
08 123456 04	030-14-1234	HARRY N STALEY P 0 BOX 184	MT PLEASANT	UT	84647
09 123456 01	030-14-1234	HARRY N STALEY P 0 BOX 184	MT PLEASANT	UT	84647
10 123456 02	030-32-1234	JANICE E BIRD JEFF M SCHMIERER P 0 BOX 7501	TORREY	UT	84775
01 123456 06	033-70-1234	ASHLEY GARRETT NANCY R GARRETT 624 W 2550 S	PROVO	UT	84604
02 123456 05	034-34-1234	DAVID O MCKAY P 0 BOX 449	MORONI	UT	84646

** INSTITUTION TOTALS

	INTEREST (INT) ACCTS	RETIREMENT (RET) ACCTS	TOTAL OF ALL ACCOUNTS
	-----	-----	-----
TOTAL NUMBER OF ACCOUNTS	44998	666	25629
YEAR TO DATE INTEREST TOTAL	5,769,727.69	260,017.11	6,059,744.80
YEAR TO DATE PENALTY TOTAL	5,232.58	15,454.03	16,686.61
YEAR TO DATE WITHHOLDING TOTAL	5,807.00	0.00	5,807.00
COMBINED ACCOUNT BALANCES	125,034,708.42	5,246,131.95	152,280,840.38
TOTAL NUMBER OF CLOSED ACCOUNTS	6680	66	5454
NEGATIVE INTEREST SET TO ZERO			687.22-

For more information concerning this report, see [1099INT Social Security Address Report](#) in DocsOnWeb.

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1099INT Exception Report

The 1099INT Exception Report lists accounts not reported on a 1099 form. Accounts are exceptions for the following reasons:

- Missing or invalid Social Security number (reported with a blank TIN to the IRS).
- Interest is below the minimum specified on the Year-End Run Options screen.
- A hold code 017 or W8 indicator = Y.
- 1042-S required.
- W-8 on file.

See the following example of a 1099INT Exception Report. The table above the report example explains the codes in the far right column of the table.

12-31-2009		118 FPS GOLD BANK		1099I EXCEPTION REPORT ** TEST **				FPSDR151 8008 PAGE 1		
ACCOUNT NUMBER	SOC SEC NBR	SHORT NAME	REC ACT	YTD	YTD	YTD	CURRENT	MATURITY		
			TYP TYP	INTEREST	PENALTY	WITHHOLD	BALANCE	DATE	CDE	
92 123459 3	999-99-9999	HENRY H	DEP INT	1,369.34	0.00	0.00	30,765.67		NE	
								NON-CITIZEN	W8 ON FILE.	
91 123450 5	123-40-9362	BOLYN AN	DEP INT	0.00	0.00	0.00	74.97		YE	
91 123454 1	123-04-7389	SMITH GA	DEP INT	0.00	0.00	0.00	68.19		YE	
91 123454 7	999-44-6267	JONES RT	DEP INT	0.00	0.00	0.00	0.00		CYE	
91 123415 3	321-78-8212	YOUNG HH	DEP INT	0.00	0.00	0.00	0.00		CYE	
92 123428 6	999-34-4005	TUDOR Q	DEP INT	0.00	0.00	0.00	10.00		YY	
91 123518 7		WU S	DEP INT	6,255.62	0.00	0.00	130,309.15	2-03-04	NE	
								NON-CITIZEN	W8 ON FILE.	
91 123362 9		WU S	DEP INT	1,356.96	0.00	0.00	35,036.32	8-12-04	NE	
								NON-CITIZEN	W8 ON FILE.	
91 123382 7		WU S	DEP INT	3,788.85	0.00	0.00	96,411.98	8-25-04	NE	
								NON-CITIZEN	W8 ON FILE.	
91 123644 1	111-46-2595	BLACK DA	DEP INT	0.00	0.00	0.00	0.00		CYE	
92 123720 7	222-72-6981	WHITE BG	DEP INT	0.00	0.00	0.00	0.00		CYE	
91 106659 5	333-58-9031	GOLD M	DEP INT	0.00	0.00	0.00	42.15		YE	
92 123094 6	99-2900711	GREENE CONSTRUCTI	DEP INT	0.00	0.00	0.00	1,118.99		YE	
92 123136 4	444-46-5298	SCARLET MM	DEP INT	0.00	0.00	0.00	78.55		YE	
91 123648 2	99-0352526	RED ONE LLC	DEP INT	0.00	0.00	0.00	1,042.90		YE	
91 123649 0	99-0352526	RED ONE LLC	DEP INT	0.00	0.00	0.00	1,760.47		YE	
91 123301 7	555-11-5717	CHARTREUSE L	DEP INT	0.00	0.00	0.00	0.00		CYE	

1099 Exception Report

For more information concerning this report, see [1099INT Exception Report](#) in DocsOnWeb.

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Retirement Reports

1099-R/1099-Q/1099-SA Detail Report

The 1099-R/1099-Q/1099-SA Detail Report lists by Social Security number the detail of the information reported on each 1099-R/1099-Q/1099-SA form. These reports sort in the same order as the [1099-R/1099-Q/1099-SA forms](#).

FPS GOLD does not print a paper copy of this report. All reports requested will be placed in GOLDView in CIM GOLD.

For detailed information concerning these reports, see [FPSDR152-1](#), [FPSDR154](#), and [FPSDR103](#) in the Deposit Reports manual in DocsOnWeb.

See the following example of a 1099-R Detail Report follows.

12-31-2012		FPS GOLD BANK		1099R DETAIL REPORT			1 FPSDR152 8011 PAGE		10
SOC SEC NBR	ACCOUNT NUMBER	SHORT NAME	DIST CODE	YTD DISTRIB.	YTD FEDERAL WITHHOLDING	YTD STATE WITHHOLDING	TYPE		
888-12-3450	11 123456 06	JONES P	1	13,800.00 13,800.00	0.00 0.00	0.00 0.00	IRA		
888-12-3451	02 123456 03	LYON RT	7	880.06 880.06	0.00 0.00	0.00 0.00	IRA		
888-12-3452	03 123456 00	ORTON KL	7	1,500.00 1,500.00	0.00 0.00	0.00 0.00	IRA		
888-12-3453	04 123456 08	HUNTER CV	7	4,490.69 4,490.69	0.00 0.00	0.00 0.00	IRA		
888-12-3454	05 123456 01	OLROD FF	2B	380.00 380.00	0.00 0.00	0.00 0.00	IRA		
888-12-3455	06 123456 02	SMITH GH	7	100.00 100.00	0.00 0.00	0.00 0.00	IRA		
888-12-3456	07 123456 08	KROMPEL J	7	1,458.75 1,458.75	0.00 0.00	0.00 0.00	IRA		
888-12-3457	08 123456 06	JENSEN TG	7	875.00 875.00	0.00 0.00	0.00 0.00	IRA		
888-12-3458	09 123456 00	HARMON DF	4	69,524.66 69,524.66	0.00 0.00	0.00 0.00	IRA		
NUMBER OF SEPP ACCOUNTS		5							
NUMBER OF SIMPLE ACCOUNTS		4							
NUMBER OF IRA ACCOUNTS		145							
NUMBER OF QUALIFIED ACCOUNTS		0							

1099-R/1099-Q/1099-SA Summary Report

The 1099-R/1099-Q/1099-SA report accompanies the [1099-R/1099-Q/1099-SA forms](#). This report lists all of the accounts that received a 1099-R/1099-Q/1099-SA and the amounts reported to the IRS. This report will sort in the same order as your 1099-R/1099-Q.1099-SA forms.

FPS GOLD does not print a paper copy. All reports requested will be placed in CIM GOLDView.

For detailed information concerning these reports, see [FPSDR152-1](#), [FPSDR154](#), and [FPSDR103](#) in the Deposit Reports manual in DocsOnWeb.

See the following example of a 1099-R Summary Report.

12-31-2001		FPS GOLD BANK		1099R SUMMARY REPORT			1 FPSDR152 8010 PAGE		5
ACCOUNT NUMBER	SOC SEC NBR	SHORT NAME	DIST CODE	YTD DISTRIB. WITH W/HLDMG	YTD FEDERAL WITHHOLDING	YTD STATE WITHHOLDING	TYPE		
01 123456 08	888-20-2134	DUNFORD SD	7	236.00 236.00 236.00	0.00 0.00 0.00	0.00 0.00 0.00	IRA		
12 123456 90	888-22-2134	TAFT RT	7	1,550.90 1,550.90 1,550.90	0.00 0.00 0.00	0.00 0.00 0.00	IRA		
23 123456 01	888-22-2134	LEAVITT AQ	7	600.00 600.00 600.00	0.00 0.00 0.00	0.00 0.00 0.00	IRA		
34 123456 12	888-24-2134	MARASCO HJ	4	7,735.55 7,735.55 7,735.55	0.00 0.00 0.00	0.00 0.00 0.00	IRA		
** INSTITUTION TOTALS				335	DISTRIB. WITH W/HLDMG	555,418.97			
NBR OF DISTRIBUTIONS				0.00	STATE WITHHOLDINGS	0.00			
FEDERAL WITHHOLDINGS									
NUMBER OF SEPP ACCOUNTS			5						
NUMBER OF SIMPLE ACCOUNTS			4						
NUMBER OF IRA ACCOUNTS			145						
NUMBER OF QUALIFIED ACCOUNTS			0						

5498/5498-SA Report

The Retirement 5498 and 5498-SA Reports shows all retirement accounts that require 5498 or 5498-SA forms. Every account holder that has a balance or contribution for the year must receive a 5498 or 5498-SA form by January 31. If the account holder has more than one account, only one account number will be printed. This account number must be used for any corrections after year-end.

For detailed information concerning these reports, see [FPSDR174](#) and [FPSDR104](#) in the Deposit Reports manual in DocsOnWeb.

12-31-2012		FPS GOLD BANK		5498 REPORT			FPSDR174 8029 PAGE 1		
SSN#	IRS OWNERS NAME ACCOUNT #	TYPE	CONTRIBUTIONS THIS YEAR	ROLLOVER AMT	END OF YEAR BALANCE	EMPLOYER SEP	SIMPLE	ROTH CONVERSION	FECHAR
123-45-7223	FRANKLIN BL 01 7890101 0	IRA	0.00	0.00	27,511.10	0.00	0.00	0.00	0.00
555-55-9234	CRUISE TO 01 1000003 7	SEP	0.00	0.00	5,587.79	0.00	0.00	0.00	0.00
444-23-7897	CRUISE SI 01 1000008 0	ROL	0.00	0.00	581.46	0.00	0.00	0.00	0.00
667-12-1230	ADAMS BE 01 2000009 4	IRA	0.00	0.00	2,324.73	0.00	0.00	0.00	2,307.68
555-62-1230	ADAMS CB 01 1000004 5	RTH	0.00	0.00	2,872.56	0.00	0.00	0.00	0.00
456-56-0560	HOUSTON SM 01 1313131 3	IRA	0.00	0.00	7,670.22	0.00	0.00	0.00	0.00
456-56-0560	HOUSTON SM 01 1414141 4	IRA	0.00	0.00	517.95	0.00	0.00	0.00	0.00
456-56-0560	HOUSTON SM 01 1212121 7	IRA	0.00	0.00	5,591.26	0.00	0.00	0.00	0.00
456-56-0560	HOUSTON SM 01 1000401 1	RTH	0.00	0.00	517.95	0.00	0.00	0.00	0.00
TOTAL ACCOUNTS	4	0.00	0.00	0.00	14,297.38	0.00	0.00	0.00	0.00
500-50-5000	SMITH ED 01 1000023 4	IRA	100.00	0.00	107.75	0.00	0.00	0.00	0.00
TOTAL ACCOUNTS	10	0.00	100.00	0.00	53,382.77	0.00	0.00	0.00	2,307.68

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Balancing Report

The 1099I IRS Tape Totals Report is created with [1099 forms](#) on 1-part paper. Balance this report with your [transmittal](#) to the IRS. This is not an optional report.

The following is an example of the 1099I IRS Tape Totals Report:

12-31-02		FPS GOLD BANK	10991 IRS TAPE TOTALS	1 FPS1099T PAGE 1
TOTAL DESCRIPTION	TOTALS SENT TO IRS	TOTALS NOT SENT		
YEAR-TO-DATE INTEREST TOTAL	1,267,365.77	5,908.74		
YEAR-TO-DATE PENALTY TOTAL	5,122.93	6.58		
YEAR-TO-DATE WITHHOLDING TOTAL	14.70	0.00		
TOTAL NUMBER OF DETAIL (B) RECORDS SENT	2,452			

12-31-02		FPS GOLD BANK	10991 IRS TAPE TOTALS	1 FPS1099T PAGE 1
TOTAL DESCRIPTION	TOTALS SENT TO IRS	TOTALS NOT SENT		
GROSS DISTRIBUTIONS TOTAL	266,738.68	0.00		
TAXABLE AMOUNT	266,738.68	0.00		
FEDERAL INCOME TAX WITHHELD	1,715.85	0.00		
EMPLOYEE CONTRIBUTIONS	0.00	0.00		
TOTAL EMPLOYEE CONTRIBUTIONS	0.00	0.00		
TOTAL NUMBER OF DETAIL (B) RECORDS SENT	42			

Tape Totals

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Forms

Retirement forms can be processed by FPS GOLD or an outside source of your choosing. You must select how you want Year-End Retirement Forms generated using the Year-End Run Options screen, [Retirement tab](#).

The following paragraphs describe each of the Retirement Forms available.

- [1099-R Forms](#)
- [1099-Q Forms](#)
- [1099-SA Forms](#)
- [5498 Forms](#)
- [5498-SA Forms](#)

1099-R Forms

1099-R forms are created for retirement accounts with distributions in the current year. A separate 1099-R is created for each Distribution Type. FPS GOLD will print the 1099-R forms using a laser printer. FPS GOLD will create two forms for customers with withholding and make one additional copy for the bank. Your bank's phone number will also be added to this form. Qualified plans will be on a separate form. The only sorts available are by Social Security Number or Alphabetically.

See the following example of a 1099-R form:

VOID CORRECTED

PAYER'S name, street address, city or town, state or province, country, ZIP or foreign postal code, and telephone no.		1 Gross distribution \$	OMB No. 1545-0119 2023 Form 1099-R		Distributions From Pensions, Annuities, Retirement or Profit-Sharing Plans, IRAs, Insurance Contracts, etc. Copy 1 For State, City, or Local Tax Department	
		2a Taxable amount \$				
2b Taxable amount not determined <input type="checkbox"/> Total distribution <input type="checkbox"/>						
PAYER'S TIN	RECIPIENT'S TIN	3 Capital gain (included in box 2a) \$	4 Federal income tax withheld \$			
RECIPIENT'S name		5 Employee contributions/ Designated Roth contributions or insurance premiums \$	6 Net unrealized appreciation in employer's securities \$			
Street address (including apt. no.)		7 Distribution code(s)	IRA/SEP/SIMPLE <input type="checkbox"/>	8 Other \$ %		
City or town, state or province, country, and ZIP or foreign postal code		9a Your percentage of total distribution %		9b Total employee contributions \$		
10 Amount allocable to IRR within 5 years \$	11 1st year of desig. Roth contrib.	12 FATCA filing requirement <input type="checkbox"/>	14 State tax withheld \$	15 State/Payer's state no.	16 State distribution \$	
Account number (see instructions)		13 Date of payment	17 Local tax withheld \$	18 Name of locality	19 Local distribution \$	

Form **1099-R** www.irs.gov/Form1099R Department of the Treasury - Internal Revenue Service

1099-R Form

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1099-Q Forms

1099-Q forms list the gross distribution (including in-kind distributions) paid this year from a Qualified Tuition program or a Coverdell ESA.

The following is an example of a 1099-Q form.

3131 <input type="checkbox"/> VOID <input type="checkbox"/> CORRECTED		PAYER'S/TRUSTEE'S name, street address, city or town, state or province, country, ZIP or foreign postal code, and telephone no. _____ _____ _____		1 Gross distribution \$ _____	OMB No. 1545-1760 Form 1099-Q (Rev. November 2019) For calendar year 20____	Payments From Qualified Education Programs (Under Sections 529 and 530) Copy A For Internal Revenue Service Center File with Form 1096. For Privacy Act and Paperwork Reduction Act Notice, see the current General Instructions for Certain Information Returns.
PAYER'S/TRUSTEE'S TIN _____	RECIPIENT'S TIN _____	2 Earnings \$ _____	3 Basis \$ _____	4 Trustee-to-trustee transfer <input type="checkbox"/>		
RECIPIENT'S name _____ _____		5 Distribution is from: • Qualified tuition program— Private <input type="checkbox"/> or State <input type="checkbox"/> • Coverdell ESA <input type="checkbox"/>		6 Check if the recipient is not the designated beneficiary <input type="checkbox"/>		
Street address (including apt. no.) _____ _____		City or town, state or province, country, and ZIP or foreign postal code _____ _____		Account number (see instructions) _____		
Form 1099-Q (Rev. 11-2019) Cat. No. 32223J www.irs.gov/Form1099Q Department of the Treasury - Internal Revenue Service		Do Not Cut or Separate Forms on This Page — Do Not Cut or Separate Forms on This Page				

1099Q Form

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1099-SA Forms

1099-SA forms lists distributions from Health Savings Accounts plans.

The following is an example of a 1099-SA form.

9494 <input type="checkbox"/> VOID <input type="checkbox"/> CORRECTED		TRUSTEE'S/PAYER'S name, street address, city or town, state or province, country, ZIP or foreign postal code, and telephone number _____ _____ _____		OMB No. 1545-1517 Form 1099-SA (Rev. November 2019) For calendar year 20____	Distributions From an HSA, Archer MSA, or Medicare Advantage MSA Copy A For Internal Revenue Service Center File with Form 1096. For Privacy Act and Paperwork Reduction Act Notice, see the current General Instructions for Certain Information Returns.
PAYER'S TIN _____	RECIPIENT'S TIN _____	1 Gross distribution \$ _____	2 Earnings on excess cont. \$ _____		
RECIPIENT'S name _____ _____		3 Distribution code _____	4 FMV on date of death \$ _____		
Street address (including apt. no.) _____ _____		5 HSA <input type="checkbox"/> Archer MSA <input type="checkbox"/> MA MSA <input type="checkbox"/>		City or town, state or province, country, and ZIP or foreign postal code _____ _____	
Account number (see instructions) _____					
Form 1099-SA (Rev. 11-2019) Cat. No. 38471D www.irs.gov/Form1099SA Department of the Treasury - Internal Revenue Service		Do Not Cut or Separate Forms on This Page — Do Not Cut or Separate Forms on This Page			

1099-SA Form

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5498 Forms

5498 forms are created for all retirement plans to disclose the fair market value and the owners in distribution. The only sorts available are by Social Security Number or Alphabetically. If you do not select a sort, the default is Social Security number.

The following is an example of a 5498 form.

<input type="checkbox"/> CORRECTED (if checked)		OMB No. 1545-0747		IRA Contribution Information
TRUSTEE'S or ISSUER'S name, street address, city or town, state or province, country, and ZIP or foreign postal code		1 IRA contributions (other than amounts in boxes 2-4, 8-10, 13a, and 14a)	2023	
		2 Rollover contributions	Form 5498	
TRUSTEE'S or ISSUER'S TIN	PARTICIPANT'S TIN	3 Roth IRA conversion amount	4 Recharacterized contributions	For Participant This information is being furnished to the IRS.
		5 FMV of account	6 Life insurance cost included in box 1	
PARTICIPANT'S name		7 IRA <input type="checkbox"/> SEP <input type="checkbox"/> SIMPLE <input type="checkbox"/> Roth IRA <input type="checkbox"/>	9 SIMPLE contributions	
Street address (including apt. no.)		8 SEP contributions	10 Roth IRA contributions	
City or town, state or province, country, and ZIP or foreign postal code		12a RMD date	11 If checked, required minimum distribution for 2024 <input type="checkbox"/>	
		13a Postponed/late contrib.	12b RMD amount	
		14a Repayments	13b Year 13c Code	
		15a FMV of certain specified assets	14b Code	
Account number (see instructions)		15b Code(s)		

Form **5498** (keep for your records) www.irs.gov/Form5498 Department of the Treasury - Internal Revenue Service

5498 Form

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5498-SA Forms

Every account holder that has a balance or contribution to a Health Savings Account (HSA) for the year must receive a 5498-SA form by January 31st.

The following is an example of a 5498-SA form.

2727

VOID

CORRECTED

TRUSTEE'S name, street address, city or town, state or province, country, ZIP or foreign postal code, and telephone number		1 Employee or self-employed person's Archer MSA contributions made in 2023 and 2024 for 2023 \$	OMB No. 1545-1518 2023 Form 5498-SA
		2 Total contributions made in 2023 \$	

HSA, Archer MSA, or Medicare Advantage MSA Information

TRUSTEE'S TIN	PARTICIPANT'S TIN	3 Total HSA or Archer MSA contributions made in 2024 for 2023 \$	
PARTICIPANT'S name		4 Rollover contributions \$	5 Fair market value of HSA, Archer MSA, or MA MSA \$
Street address (including apt. no.)		6 HSA <input type="checkbox"/>	
City or town, state or province, country, and ZIP or foreign postal code		Archer MSA <input type="checkbox"/>	
Account number (see instructions)		MA MSA <input type="checkbox"/>	

Copy A
For Internal Revenue Service Center
File with Form 1096.
For Privacy Act and Paperwork Reduction Act Notice, see the **2023 General Instructions for Certain Information Returns.**

Form **5498-SA** Cat. No. 38467V www.irs.gov/Form5498SA Department of the Treasury - Internal Revenue Service

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Transmittal of Information Returns

The following is an example of the IRS transmittal form, which FPS GOLD will send to you. You must sign and return this to FPS GOLD no later than [February 15](#). FPS GOLD will not send the data to the IRS until this form is returned. One will be created for [1099INT](#) and one for [1099-R/1099-Q/1099-SA](#) forms.

NOTE

We will accept fax copies with authorized signature.

(See Instructions on back)

Form 4804 (Rev. May 2002)	Department of the Treasury – Internal Revenue Service Transmittal of Information Returns Reported Magnetically Please type or print in BLACK ink (Use a separate Form 4804 for each file.)		IRS Use Only	OMB No. 1545-0367
	1. Type of file represented by this transmittal <input type="checkbox"/> Original <input type="checkbox"/> Test <input type="checkbox"/> Replacement <input type="checkbox"/> Correction		2. Tax year for which media is submitted	
3. Transmitter Control Code (TCC) (Required)		4. Name of transmitter (Owner of TCC)		
5. Name/address of company and name/title of person who should receive correspondence on problem files (should be the same information as in 'T' record)				
Company Name _____				
Address _____				
City _____ State _____ Zip _____				
Contact Person _____ Telephone Number (____) _____				
E-mail Address _____				
6. Forms 1098, 1099, 5498, W-2G Combined total of payee 'B' records		7. Form 1042-S Total number of 'Q' records	8. Form 8027 Total number of establishment(s) reported	9. Transmitter in-house media number(s)
Affidavit				
Under penalties of perjury, I declare that I have examined this transmittal, including accompanying documents, and, to the best of my knowledge and belief, it is correct and complete. (Normally, the payer must sign the affidavit above. The authorized agent of the payer may sign if all conditions are met as stated on the back.)				
SIGNATURE (Required)			IRS Use Only	
Title		Date		
Catalog Number 272101			Form 4804 (Rev. 5-2002)	

Year-End Reports Balancing

Three separate reports are needed for balancing the year-end 1099 information generated by FPS GOLD:

- One of either the [1099INT Customer Information](#), [Numeric](#), [Alpha](#), or [Social Security](#) reports
- The [1099INT IRS Tape Totals Report](#)
- The [1099INT Exception Report](#)

The following table shows the totals that should balance on these reports.

The information in the cell below this one . . .	Should match the information in the cell below this one
The institution totals for interest, penalty, and withholding under the column INTEREST (INT) ACCTS on the 1099INT Customer Information , Numeric , Alpha , or Social Security reports	The combined year-to-date interest of the TOTALS SENT TO IRS and the TOTALS NOT SENT column of the 1099INT IRS Tape Totals Report plus the institution totals in the TOTAL OF ALL ACCOUNTS column of the 1099INT Exception Report

The following example illustrates interest balancing:

1099INT IRS Tape Totals Report

Year-to-Date Interest Totals Sent to IRS	\$12,103,950.21
+Year-to-Date Interest Totals Not Sent	\$1,856.51

1099INT Exception Report

+Year-to-Date Interest Totals of All Accounts	\$41,546.48
	\$12,147,353.20

1099INT Social Security Report

=Year-to-Date Interest (INT) Accts	\$12,147,353.20
------------------------------------	-----------------

NOTE

You should be able to balance the total to the YTD total interest posted from the Deposit system. To do this, you can run a GOLDWriter to pull in the total YTD interest using the field DMYITL (**Prior YTD Interest**). Run this on the live (online) set, *not* the monthend set.

The following shows the example above on the IRS Tape Totals Report.

12-31-YY		YOUR INST. NAME AND NUMBER		1099I IRS TAPE TOTALS REPORT		1 FPS1099T		PAGE 1	
TOTAL DESCRIPTION		TOTALS SENT TO IRS		+		TOTALS NOT SMT			
YEAR-TO-DATE INTEREST TOTAL		12,103,950.21				1,856.51			
YEAR -TO-DATE PENALTY TOTAL		0.00				0.00			
NOT USED		0.00				0.00			
YEAR-TO-DATE WITHHOLDING TOTAL		4,591.73				0.00			
NOT USED		0.00				0.00			
NOT USED		0.00				0.00			
NOT USED		0.00				0.00			
NOT USED		0.00				0.00			
NOT USED		0.00				0.00			
NOT USED		0.00				0.00			

12-31-YYYY		YOUR INST. NAME & NUMBER		1099I EXCEPTION REPORT		1 FPSDR151 8008		PAGE 180	
ACCOUNT NUMBER	SOC SEC NBR	SHORT NAME	REC ACT TYP TYP	YTD INTEREST	YTD PENALTY	YTD WITHHOLD	CURRENT BALANCE	MATURITY DATE	CDE
16 004169 07			DEP INT	3.65	0.00	0.00	269.41		YY
** EXCEPTION TOTALS									
							TOTAL OF ALL ACCOUNTS		
							10983		
TOTAL NUMBER OF ACCOUNTS							41,546.46		
YEAR TO DATE INTEREST TOTAL							78.72		
YEAR TO DATE PENALTY TOTAL							0.00		
YEAR TO DATE WITHHOLDING TOTAL							39,250,925.27		
COMBINED ACCOUNT BALANCES							2045		
TOTAL NUMBER OF CLOSED ACCOUNTS									

12-31-YYYY		YOUR INST. NAME & NUMBER		1099I SOCIAL SECURITY REPORT		1 FPSDR150 8004		PAGE 180	
ACCOUNT NUMBER	SOC SEC NBR	SHORT NAME	REC ACT TYP TYP	YTD INTEREST	YTD PENALTY	YTD WITHHOLD	CURRENT BALANCE	MATURITY DATE	CLS
03 110035 00			DEP INT	0.00	0.00	0.00	104.50		
03 130274 04			DEP INT	0.00	0.00	0.00	9,949.08		
03 009239 03			DEP INT	6.71	0.00	0.00	225.70		
03 101701 09			DEP INT	0.00	0.00	0.00	994.79		
03 000918 04			DEP INT	2,166.48	0.00	0.00	72,931.09		
03 142652 06			DEP INT	0.00	0.00	0.00	156.16		
03 142651 08			DEP INT	0.00	0.00	0.00	261.55		
03 101504 07			DEP INT	0.00	0.00	0.00	12,682.25		
01 200319 02			DEP INT	313.25	0.00	0.00	3,941.61		
01 200326 08			DEP INT	0.00	0.00	0.00	3,483.62		
03 110403 01			DEP INT	978.20	0.00	0.00	50,610.86		
14 107680 05			DEP INT	0.00	0.00	0.00	0.00		CLS
14 103684 01			DEP INT	0.00	0.00	0.00	0.00		CLS
14 103685 08			DEP INT	0.00	0.00	0.00	0.00		CLS
12 201980 04			DEP INT	4,043.37	0.00	0.00	161,723.41		
08 101992 04			DEP INT	0.00	0.00	0.00	45,332.30		
08 900444 03			DEP INT	170.70	0.00	0.00	2,462.21	2-09-00	
18 140496 08			DEP INT	167.33	0.00	0.00	1,550.51		
08 900892 07			DEP INT	58.11	0.00	0.00	1,431.48	4-04-02	
08 142197 09			DEP INT	0.00	0.00	0.00	19.10		
08 002472 08			DEP INT	36.32	0.00	0.00	1,228.75		
08 110072 05			DEP INT	0.00	0.00	0.00	0.00		CLS
19 150014 03			DEP INT	0.00	0.00	0.00	396.43		
** INSTITUTION TOT.....									
				INTEREST (INT) ACCTS		RETIREMENT (RET) ACCTS		TOTAL OF ALL ACCOUNTS	
				22976		631		23629	
TOTAL NUMBER OF ACCOUNTS				12,147,353.20		290,017.11		3,059,744.80	
YEAR TO DATE INTEREST TOTAL				3,807.00		15,454.02		17,686.61	
YEAR TO DATE PENALTY TOTAL				0.00		0.00		3,807.00	
YEAR TO DATE WITHHOLDING TOTAL				127,024,708.42		5,246,131.96		132,280,840.38	
COMBINED ACCOUNT BALANCES				3380		74		3454	
TOTAL NUMBER OF CLOSED ACCOUNTS								387.22-	
NEGATIVE INTEREST SET TO ZERO									

Illustration of Year-End Balancing